

1 The Quantitative Use of Parasite Data in Multi-Stock Modelling of South 2 African Sardine (*Sardinops sagax*)

3 Carryn L. de Moor, Douglas S. Butterworth, Carl D. van der Lingen
4

5 **Abstract:** Differences in parasite infection have previously been used to distinguish between fish
6 stocks. We demonstrate a novel use of parasite prevalence-by-length data to inform
7 quantitatively on stock mixing. An initial two mixing stock hypothesis proved consistent with
8 biological and survey data suggesting that there are different stocks of sardine off the west and
9 south coasts of South Africa. That hypothesis assumed that only recruits moved from the west
10 to the south stock. However, new “tetracotyle”-type metacercarian parasite bio-tag data indicate
11 a need to allow older fish to move between the stocks as well. We demonstrate extension of bio-
12 tagging to inform on the plausibility of population structure hypotheses by including parasite
13 prevalence-by-length data in the model’s likelihood. Our method enables the estimation of the
14 magnitude of mixing between semi-discrete stocks, providing more precise estimates of annual
15 movement. Such improved precision may be important in better informing future movement
16 hypotheses and thereby management. Our research provides a framework to use to inform
17 quantitatively on stock structure and movement hypotheses for other fish species with bio-tagging
18 data.

19
20 **C.L. de Moor.** Marine Resource Assessment and Management (MARAM) Group, Department of
21 Mathematics and Applied Mathematics, University of Cape Town, Rondebosch, 7701, RSA. Email:
22 carryn.demoor@uct.ac.za.

23 **D.S. Butterworth.** Marine Resource Assessment and Management (MARAM) Group, Department of
24 Mathematics and Applied Mathematics, University of Cape Town, Rondebosch, 7701, RSA. Email:
25 doug.butterworth@uct.ac.za.

26 **C.D. van der Lingen.** Branch: Fisheries Management, Department of Agriculture, Forestry and
27 Fisheries, Private Bag X2, Rogge Bay 8012, RSA; and Marine Research Institute and Department of
28 Biological Sciences, University of Cape Town, Rondebosch, 7701, RSA. Email: carlvdl@daff.gov.za.

29

30 **Corresponding author:** Carryn L. de Moor (e-mail: carryn.demoor@uct.ac.za). Marine Resource
31 Assessment and Management (MARAM) Group, Department of Mathematics and Applied
32 Mathematics, University of Cape Town, Rondebosch, 7701, RSA. Tel: +27 31 764 3255

Accepted Manuscript

33 **Introduction**

34 The use of parasites as biological tags – or parasite “bio-tagging” as it is commonly known – to
35 discriminate between marine fish stocks has become widespread in fisheries science (e.g. MacKenzie
36 1990; MacKenzie and Abaunza 2014). Large differences in the composition of selected parasite
37 species, or in the infection levels of a single parasite, in fish from different areas may indicate a lack of
38 mixing between those areas and hence the possibility of separate stocks. An overall probability of the
39 correct classification of fish to their group of origin based on parasite data was estimated from a meta-
40 analysis to be about 70%, almost double that expected by chance alone (Poulin and Kamiya 2015).

41

42 Sardine (*Sardinops sagax*) is a short-lived, rapidly-growing and highly productive small pelagic fish
43 species found distributed off the entire coastline of South Africa (Beckley and van der Lingen 1999). A
44 commercial fishery that targeted this and other pelagic fish using purse-seine vessels was established
45 in the late 1940s. Historically this harvested sardine almost exclusively off the west coast, but the
46 fishery expanded onto the south coast in more recent decades (Fig. 1). Commercial catches have
47 shown large fluctuations with periods of high (>200 000t) annual catches in the early 1960s and early
48 2000s preceded and followed by lower catches. The abundance, distribution and size structure of the
49 sardine population has been monitored via bi-annual hydro-acoustic surveys conducted since the mid-
50 1980s (de Moor et al. 2008). Historically, these sardine have been assessed and managed as a
51 homogeneous fishery management unit (“stock”) under the assumption that the resource consists of a
52 single biological population (de Moor et al. 2011, 2015; de Moor and Butterworth 2016). Sardine found
53 sporadically off the east coast are postulated to be a separate stock (Fréon et al. 2010), are harvested
54 by a beach-seine fishery with catches typically <1% of those from the west and south coasts, and are
55 excluded from this analysis.

56

57 A boom in abundance and an almost simultaneous eastward shift in distribution at the turn of the 21st
58 century prompted renewed research into sardine population structure. The hypothesis of separate west
59 and south coast “stocks” was originally proposed after noting a separation between west and south
60 coast spawning aggregations – particularly at low to medium biomass levels (van der Lingen et al. 2005;
61 Coetzee et al. 2008a; van der Lingen et al. 2009; see Fig. 1). Studies of phenotypic differences between
62 sardine sampled from the west and south coasts also showed differences in some morphometric

63 characteristics (van der Lingen et al. 2009; van der Lingen 2011), demonstrating the possible existence
64 of two functionally discrete “stocks” of sardine, perhaps with some mixing.

65

66 Parasite bio-tagging was employed to complement these stock structure studies following an initial
67 investigation that documented sardine parasites and identified a Strigeid digenean “tetracotyle”-type
68 metacercarian parasite (TTMP) of the genus *Cardiocephaloides* as a suitable bio-tag (Reed et al. 2012).
69 Analysis of commercial catch samples from 2011 and 2012 showed strong differences in the
70 prevalence, mean infection intensity and mean abundance of this parasite between sardine from the
71 South African west and the south coasts (Weston 2013; Weston et al. 2015). Fish from the west had
72 higher values for all three infection indices than those from the south coast, strengthening support for
73 the hypothesis of some structure in the South African sardine population.

74

75 de Moor and Butterworth (2015) investigated these developing hypotheses about alternative sardine
76 stock structure further, and showed that a hypothesis of two mixing stocks was compatible with the
77 fishery dependent and fishery independent data typically used for assessments of this resource. That
78 hypothesis assumed west and south stocks of sardine, separated at Cape Agulhas (Fig. 1). There was
79 clear evidence in hydro-acoustic survey length frequencies of recruits having moved from the west to
80 the south coasts between May/June and November in some years, as well as initial indications from
81 TTMP prevalence data that the extent of any movement of sardine of age 2+ would likely be low (Smith
82 et al. 2011). Mixing between the stocks was therefore modelled by assuming a year-varying proportion
83 of west stock recruits only to move permanently to the south stock at the time that they become 1-year-
84 olds.

85

86 Data on sardine infection by the TTMP has continued to be collected from both commercial and
87 research survey samples. These data now indicate that a refinement of earlier versions of the
88 hypothesis of two mixing stocks should be considered, in order to allow older individuals to move
89 permanently from the west to the south stocks as well. In this paper we revise the hypothesis used by
90 de Moor and Butterworth (2015) and fit a sardine model directly to parasite prevalence-by-length data.
91 In doing so, we demonstrate the value of the information contained in the six continuous years of TTMP
92 prevalence data sampled from hydro-acoustic surveys. Our research provides a framework which could

93 be used to inform quantitatively on stock structure hypotheses and movement between stocks for other
94 fish species for which bio-tagging data are available.

95

96 **Data and methods**

97 Of seven parasites documented for South African sardine, the TTMP found in sardine eyes showed the
98 greatest bio-tag potential for the following reasons (Reed et al. 2012). It is endoparasitic and has a
99 discontinuous distribution with substantial spatial variability in infection within the sardine's distributional
100 range. It also likely has a long lifespan in its sardine host and is site specific, which facilitates ease of
101 detection and identification.

102

103 The TTMP belongs to the family Strigeidae, which have life-cycles involving gastropod as first
104 intermediate hosts, fish as second intermediate hosts, and piscivorous bird as final (or definitive) hosts
105 (Niewiadomska 2002). Importantly for stock identification purposes, there is no fish-to-fish transmission
106 of these Strigeid parasites (Niewiadomska 2002). While sardine and the African penguin (*Spheniscus*
107 *demersus*) have been identified as the second intermediate and definitive TTMP hosts, respectively,
108 the first intermediate host has yet to be identified. However, this host is hypothesised to be *Burnapaena*
109 *papyracea* (Weston 2013; van der Lingen et al. 2015), an abundant subtidal gastropod distributed off
110 the southern African west coast from Cape Agulhas to Lüderitz (Branch et al. 1994; Fig. 1). If *B.*
111 *papyracea* is indeed the first intermediate host, this means that the parasite endemic area (that
112 geographic region within which conditions are suitable for parasite transmission, MacKenzie and
113 Abaunza 2014) occurs off the west coast only. Hence infected sardine found outside the hypothesised
114 west coast endemic area must have spent some time on the west coast. That sardine off the west
115 coast show TTMP infection at a smaller size than those off the south coast (van der Lingen et al. 2015)
116 supports the hypothesis of a west coast endemic area. The observed pattern of increasing infection
117 with fish length and hence age (Weston 2013; Weston et al. 2015) indicates that infection is cumulative,
118 and that sardine continue to be re-infected the longer they remain in the endemic area.

119

120 Sardine samples for parasite analysis have been collected between 2010 and 2015 during annual
121 hydro-acoustic surveys to estimate pelagic fish biomass conducted off the South African west and south
122 coasts in austral spring/early summer (e.g. Coetzee et al. 2008b). Fish were processed for the TTMP

123 as described by Weston et al. (2015). Sardine samples collected between 20 and 22°E were not
124 included in this analysis so that any age-1 individuals in this hypothesized mixing zone that may include
125 west stock fish would be excluded (Dunn et al. 2015). This resulted in 3 130 sardine from 103 trawls
126 having been processed for TTMPs, and being used in this analysis (Fig. 2). Annual prevalence-by-
127 length values – i.e. the percentage of sardine infected with the TTMP by length – were derived for each
128 0.5 cm CL class for each stock.

129
130 The sardine assessment model is detailed in the online supplementary material (Section B¹). This sex-
131 aggregated model with Bayesian estimation uses an age-structured production model framework, but
132 due to the lack of reliable ageing data for sardine, the model is fit directly to length- rather than to age-
133 structured survey and commercial catch data. This is achieved through the estimation of von Bertalanffy
134 growth curves within the model, and accounting for variability in length about these growth curves at
135 each point in time (de Moor et al. 2015). One important new aspect of this model is the allowance for
136 early/late recruitment each year by modelling annual variability in these growth curves (online
137 supplementary material, Equation S8²). The model is area- and stock-disaggregated with west and
138 south stocks modelled to be west and south-east of Cape Agulhas (de Moor and Butterworth 2015, Fig.
139 1), with allowance for one-way movement from the west to the south stock. Recruitment to each stock
140 is modelled assuming a hockey-stick stock-recruitment relationship dependent on the spawner biomass
141 of that stock only. Maturity is assumed to change over time, possibly due to density dependence (van
142 der Lingen et al. 2006), but as there is no current information indicating differences in maturity between
143 the stocks, the same maturity ogives are used for both stocks. Natural mortality is similarly assumed to
144 be the same for both stocks, with juveniles having a higher natural mortality rate than 1+ sardine. In
145 line with the assumption that the TTMP has no appreciable negative impacts on sardine, no difference
146 in growth, maturity, natural or fishing mortality or movement is assumed between infected and
147 uninfected sardine.

148
149 This revised two mixing stock hypothesis now models the additional movement of older fish by
150 assuming the annual proportion of age 2+ sardine which move permanently from the west to the south

¹ Supplementary data are available with the article through the journal Web site at XXXX.

² Supplementary data are available with the article through the journal Web site at XXXX.

151 stock to be a time-invariant proportion of the 1-year olds that move each year (online supplementary
152 material, Table S1³). This revision was based on the increasing prevalence of TTMP infection with
153 length on both coasts (Fig. 2). This increase is to be expected for the west stock given that all ages are
154 found in the endemic area and are therefore subject to possible infection each year. However, this
155 increase would not be anticipated for south stock fish under an “only 1-year-old movement” hypothesis,
156 as prevalence would be anticipated to plateau at a level dependent on the prevalence of infection of
157 incoming 1-year-olds. Hence this information suggests that west stock fish older than age-1 move to
158 the south stock. It is further assumed that there is no permanent movement of south stock sardine to
159 the west stock.

160

161 Since the endemic area is hypothesized to be on the west coast, only west stock sardine are modelled
162 to become infected by the parasite, and this is assumed to occur in an annually-varying pulse from
163 2008⁴ onwards. Prior to 2008, a time-invariant proportion of west stock sardine not already infected is
164 assumed to become infected each year, though this assumption is inconsequential to results as parasite
165 prevalence-by-length data are available only between 2010 and 2015. For the purposes of simplicity,
166 both infection and subsequent movement to the south stock are assumed to occur immediately after
167 sardine are modelled to increase their age on 1st November each year.

168

169 In addition to the new time series of parasite prevalence-by-length data, the model was conditioned on
170 more than three decades of hydro-acoustic surveys of November biomass and May/June recruitment
171 (de Moor et al. 2008 and unpublished extended data), as well as on length frequency data collected
172 from the November surveys and quarterly commercial catches. A binomial distribution was chosen to
173 model the prevalence-by-length data so that the varying sample sizes by length and year would be
174 explicitly considered in weighting the prevalence observations (online supplementary material⁵,
175 equation S36).

176

³ Supplementary data are available with the article through the journal Web site at XXXX.

⁴ Some information on 2008-2009 prevalence can be obtained from available data on TTMP prevalence at larger lengths in 2010-2011.

⁵ Supplementary data are available with the article through the journal Web site at XXXX.

177 Two models were run in order to test the effect of including the new time series of parasite prevalence-
178 by-length data in the model. The first model, S_{with} , included these data in the likelihood (online
179 supplementary material, Equation S36⁶), while the second model, $S_{without}$, ignored these data and
180 consequently also the estimation of the annual proportion of west stock sardine infected from 2008
181 onwards. Numerical integration was performed using Markov Chain Monte Carlo (Gelman et al. 1995)
182 in AD Model Builder (Fournier et al. 2012). Chains of length 13.5 million (S_{with}) and 14.5 million ($S_{without}$)
183 samples were run and the posterior distributions were estimated after discarding 6 million samples for
184 burn in and subsequently thinning by 2 000.

185

186 **Results**

187 Both S_{with} and $S_{without}$ models produced near identical fits to the hydro-acoustic survey data, with
188 predictions being mostly within the 95% CIs of the survey means (Fig. 3). Although there was some
189 evidence of temporal trend in the standardised residuals in model fits to the south stock November
190 survey biomass, there was little evidence of temporal trend in the residuals for the other three
191 abundance series (online supplementary material, Fig. S1⁷). The general patterns in the length
192 frequency data were also well represented by both models, despite there being some pattern in the
193 standardised residuals (online supplementary material Figs. S2 and S3⁸).

194

195 The variability about the von Bertalanffy growth curves is larger for lower ages (online supplementary
196 material, Fig. S4). Fig. 4 shows that a reasonably large range is estimated for the annual age at which
197 length is expected to be zero, thereby facilitating some improvement in the model fit to the length
198 frequency data through the associated assumption that this allows representation of annual changes in
199 the peak spawning time. The estimated hockey-stick stock recruitment relationships are similar for the
200 two models for the west stock, while the maximum recruitment to the south stock is estimated to be
201 some 30% higher at the joint posterior mode under S_{with} than under $S_{without}$ (Fig. 5). Consistent with the
202 finding by de Moor and Butterworth (2015), the west stock is estimated to be substantially more
203 productive than the south stock, with the maximum recruitment to the former being estimated to be an
204 order of magnitude higher than that for the latter.

⁶ Supplementary data are available with the article through the journal Web site at XXXX.

⁷ Supplementary data are available with the article through the journal Web site at XXXX.

⁸ Supplementary data are available with the article through the journal Web site at XXXX.

205

206 The model is able to fit the observed prevalence-by-length of sardine infected with the TTMP reasonably
207 well (Fig. 6). Account needs to be taken of the differences in sample sizes between length and also
208 years in drawing this conclusion (see above). The allowance now made for the movement of 2+ fish
209 enables the model to explain the feature evident in the data of an increase in prevalence at larger
210 lengths on the south coast. The differences between annual observed prevalence-by-length inform the
211 estimates of the proportions infected each year (Fig. 7).

212

213 Estimates of the proportion of sardine moving from the west to the south each year are important
214 because their magnitude is the primary determinant of the extent to which harvest rates on the west
215 coast may need to be reduced, as discussed below. The annual proportion of 1-year olds that move
216 from the west to the south stock differs between the models, with a much greater inter-annual variability
217 estimated for recent years for S_{with} (Fig. 8; online supplementary material, Fig. S5⁹). Movement is
218 estimated to be substantial in some years, particularly after the mid-1990s, with over 50% of the 1-year
219 olds estimated to have moved from the west to the south stock in 12 out of 32 years. The further
220 information provided by the TTMP prevalence data on past stock mixing is such that the proportions
221 moving are estimated far more precisely for S_{with} than for $S_{without}$, and particularly so between 2012 and
222 2015 (Fig. 8). The average standard deviation of the 2008 to 2015 proportions of age-1 sardine moving
223 is decreased substantially from 0.20 for $S_{without}$ to 0.11 for S_{with} . The 95% posterior probability intervals
224 of the $S_{without}$ proportions of age-1 are large in many years, reflecting only slight updating of the prior
225 distributions' 95% probability interval of [0.03,0.97] (online supplementary material, Table S1) from the
226 information indirectly available from (primarily) the hydro-acoustic survey data. In contrast, the
227 additional information contained in the TTMP prevalence-by-length data update these prior distributions
228 substantially more for S_{with} , particularly in recent years. These more precise estimates display a variable
229 pattern of higher and lower median proportions of 1-year-olds moving in recent years under S_{with} (Fig.
230 8). The large probability intervals for the proportion of 1-year olds estimated to move in November 2014
231 under $S_{without}$ (Fig. 8) are indicative of the lack of information about movement from the abundance (Fig.
232 3) or length frequency data. No sardine less than 19cm were found to be infected with the TTMP on
233 the South Coast in November 2014 (Fig 6). These prevalence data are therefore highly informative

⁹ Supplementary data are available with the article through the journal Web site at XXXX.

234 through being able to indicate that a very low proportion of 1-year-old sardine only could have moved
235 from the west to the south stock that year. The >10% model estimated prevalence for the south stock
236 for sardine more than 15cm results from individuals of ages 2+ (online supplementary material Fig.
237 S4a), which would have moved from the west to the south stock in former years.

238

239 Furthermore, S_{with} estimates a greater proportion of age 2+ sardine to have moved than that estimated
240 by $S_{without}$ (online supplementary material, Fig. S6¹⁰). The median time invariant proportion of the 1-
241 year-olds that inform the proportion of 2+ sardine that move is estimated to be 0.31 [95% probability
242 interval 0.04-0.68] under S_{with} compared to 0.23 [95% probability interval 0.01-0.70] under $S_{without}$. This
243 results in a greater overall biomass of sardine being estimated to have moved from the west to the
244 south stocks under S_{with} compared to $S_{without}$.

245

246 Discussion

247 This revised stock structure hypothesis for the South African sardine of two mixing stocks has been fit
248 to an extended time series of hydro-acoustic survey abundance estimates together with commercial
249 and survey proportion-by-length data, and the new time series of TTMP prevalence-by-length data.
250 This analysis draws similar overarching conclusions to those which de Moor and Butterworth (2015)
251 reached using the initial hypothesis. These are that the west stock is estimated to be substantially more
252 productive than the south stock, and that movement of individuals from the west to the south stock has
253 had a much greater impact on south stock biomass than have any years of above average south stock
254 recruitment (Figs. 3, 5 and 8). In particular, the peak in south stock biomass at the beginning of the 21st
255 century (Fig. 3) is estimated to have resulted primarily from west stock fish having moved permanently
256 to the south stock.

257

258 Parasite data have previously been used to investigate inter-stock dynamics in sockeye salmon
259 *Oncorhynchus nerka*. Bailey et al. (1987) simulated mixtures of juvenile sockeye salmon stocks
260 originating from different lakes in British Columbia using data on the infection of these salmon by twelve
261 parasites and demonstrated that bio-tagging could be used to quantitatively estimate stock
262 compositions during periods of mixing. Bio-tagging using two parasites (the brain parasite *Myxobolus*

¹⁰ Supplementary data are available with the article through the journal Web site at XXXX.

263 *arcticus* and muscle parasite *Henneguya salminicola*) was utilised to provide time-invariant estimates
264 of the composition of a mixture of three sockeye salmon stocks in British Columbia, and thereby inform
265 management such that overexploitation of individual stocks was avoided (Margolis 1998). Moles and
266 Jensen (2000) also reported that the brain parasite *M. arcticus* could serve as an effective biological
267 marker to distinguish between the origins of sockeye salmon in Alaska, given the apparent low inter-
268 annual variability in parasite prevalence. However, Margolis (1998) added that continuous baseline
269 sampling of the stocks over time was required to consider inter-annual changes in parasite prevalence
270 and intensity among the stocks in order that such stock-discrimination remained possible.

271

272 In contrast, this study has quantitatively evaluated the inter-annual variability in the (permanent) mixing
273 of sardine on the south coast which originated from both the west (endemic area) and south stock
274 recruitment. The continuous collection of prevalence-by-length data is required to inform these
275 changing levels of infection and age-dependent movement – information that is important for the
276 sustainable management of such short lived species.

277

278 The high inter-annual variability in the proportion of west stock sardine infected with TTMP (Fig. 7) is
279 consistent with the highly dynamic oceanography off the west coast, which shows strong seasonal and
280 inter-annual variability (Hutchings et al. 2009). It is also consistent with information that the release of
281 Strigeid cercariae from their gastropod first intermediate host may be driven by environmental changes
282 such as an increase in temperature (e.g. Harrod and Griffiths 2005).

283

284 These results have important management implications. The conclusion that the west stock is the key
285 “feeder” stock for both coasts raises concerns for the future of the resource, given that the west stock
286 has experienced poor to low recruitment for the majority of the past 12 years. In addition, with the
287 historical distribution of the resource having been primarily along the west coast, and the subsequent
288 development of processing plants for the small pelagic fishery along this coast, the fishing effort on the
289 west coast has been appreciably higher than that on the south coast (online supplementary material,
290 Fig. S7¹¹). Under this hypothesis of two mixing stocks of sardine, the resource should be carefully

¹¹ Supplementary data are available with the article through the journal Web site at XXXX.

291 managed, with reduced harvest rates in the traditional western fishing area, so that over-exploitation of
292 the west stock - with potential serious consequences for the resource as a whole - does not occur.

293

294 The confirmation of the assumption that the endemic area for infection by TTMP is west of Cape
295 Agulhas only is important, particularly in the light of the potential management implications of the
296 hypothesis of two mixing stocks. Future research into the definitive identification of this first intermediate
297 host therefore remains a high priority (van der Lingen et al. 2015). Even so, the absence of infection in
298 the small sardine east of Agulhas is difficult to reconcile with an endemic area that extends further east
299 than is currently hypothesised.

300

301 In line with this concern of possible over-exploitation of the west stock, the Small Pelagic Scientific
302 Working Group of the Fisheries Branch of the South African Department of Agriculture, Forestry and
303 Fisheries, which is responsible for providing scientific advice for the management of the sardine
304 resource is now additionally considering alternative hypotheses which may also be consistent with these
305 parasite prevalence-by-length data. These include the possibility that recruitment to the west stock
306 depends on a combination of the west stock spawning biomass and some relatively small proportion of
307 the south stock spawning biomass (Miller et al. 2006; Coetzee 2014), or that part of the south stock is
308 distributed west of Cape Agulhas for a relatively short period each year (de Moor et al. 2014). Operating
309 Models for the development of future Management Procedures (de Moor and Butterworth 2016) for the
310 sardine resource will need to be based on variants of these hypotheses for two mixing stocks.

311

312 In this light, the inclusion of the TTMP prevalence data directly in the likelihood of the model is
313 noteworthy. The movement proportions were not precisely estimated in the de Moor and Butterworth
314 (2015) analysis; this is a concern when alternative assumptions for future movement can lead to
315 substantially different projections for the population as a whole. This research has demonstrated the
316 precision with which these movement proportions can be estimated is substantially improved by the
317 inclusion of the TTMP prevalence-by-length data, with the posterior standard deviations for these
318 proportions being almost halved from S_{without} to S_{with} . The marked inter-annual differences in proportions
319 moving in recent years have been informed by the inter-annual differences in the observed prevalence-
320 by-length, indicating the importance of sampling these data on an annual basis to inform the mixing

321 dynamics of this short lived species. This highlights the value of the further information provided through
322 this bio-tagging study. While the movement parameters are more precisely estimated mainly for recent
323 years, those may nevertheless be important in better informing the choice of hypotheses for future
324 movement.

325

326 **Conclusions**

327 Whereas previous studies restricted the use of bio-tagging to the identification of and discrimination
328 between stocks, or to the identification of assumed time-invariant mixing between stocks, we have
329 shown that bio-tagging can be extended to inform the inter-annual extent of mixing between stocks as
330 well. Our results have therefore demonstrated that parasite bio-tagging can be used not only
331 qualitatively, but also quantitatively to inform on stock structure hypotheses.

332

333 The reasonably large sample size of TTMP prevalence-by-length data collected continuously over six
334 years for the western and southern sardine stocks enabled the estimation of annual proportions of west
335 stock infected and a more precise estimation of proportions moving, particularly in recent years. These
336 improvements in the precision of the estimates of movement – almost halving the posterior standard
337 deviation of the proportion of age-1 fish moving in recent years - indicate that the TTMP prevalence-by-
338 length data contribute substantial quantitative value towards informing stock structure hypotheses.
339 While conventional tagging data have previously been used to inform on stock mixing (e.g. Sibert et al.
340 1999; Kurota et al. 2009), this is the first case of which we are aware where a stock assessment model
341 has been fit directly to parasite bio-tagging data in order to inform on the extent of inter-annual stock
342 mixing. This analysis shows that these inter-annual differences can be substantial for this sardine
343 resource.

344

345 In addition, this research provides a good example of how the incorporation of spatial structure in a
346 stock assessment model can lead to more informed management. The method developed for this study
347 could readily be extended for use for other resources.

348

349 In addition to the prevalence data used in this analysis, TTMP infection intensity-by-length data have
350 also been collected. Given that TTMP infection in sardine is cumulative, this index may be able to

351 provide an index of residence time on the west coast, and future studies may additionally use these
352 data if the cumulative number of parasites within a sardine can be modelled adequately, rather than
353 only the first stage of infection compared to no infection as used here. These data have the potential
354 to further inform differences in annual movement by length (age).

355

356 **Acknowledgements**

357 Scientific and technical staff from the Department of Agriculture, Forestry and Fisheries (DAFF) are
358 thanked for the collection and processing of data used in these analyses. In particular the late M.
359 Hendricks, as well as J. Petersen, K. Mushanganyisi and Y. Geja are acknowledged for their work on
360 the TTMP. Panel members of the annual MARAM International Stock Assessment Review Workshops
361 and participants of the DAFF Small Pelagics Scientific Working Group are thanked for their robust
362 discussions and critique during the development of the revised hypothesis for two mixing stocks. Three
363 anonymous reviewers are thanked for their constructive comments on an earlier version of this
364 manuscript. Financial support for CLdM and DSB from DAFF for the development of these
365 assessments, and for CDvdL from DAFF and the National Research Foundation (IFRR Grant No.
366 85838) is gratefully acknowledged. Some computations were performed using facilities provided by the
367 University of Cape Town's ICTS High Performance Computing team (<http://hpc.uct.ac.za>). Financial
368 support from the National Research Foundation for the presentation of this research at the 7th World
369 Fisheries Congress is also acknowledged. Any opinion, finding and conclusion or recommendation
370 expressed in this material is that of the authors and the NRF does not accept any liability in this regard.

371

372 **References**

- 373 Bailey, R.E., Margolis, L. Groot, C. 1988. Estimating stock composition of migrating juvenile Fraser
374 River (British Columbia) sockeye salmon, *Oncorhynchus nerka*, using parasites as natural tags.
375 Can. J. Fish. Aquat. Sci. 45: 586-591.
- 376 Beckley, L.E., van der Lingen, C.D. 1998. Biology, fishery and management of sardines (*Sardinops*
377 *sagax*) in southern African waters. Mar. Freshwater Res. 50: 955-978.
- 378 Branch, G.M., Griffiths, C.L., Branch, M.L., Beckley, and L.E. 1994. Two Oceans. A Guide to the Marine
379 Life of Southern Africa. David Phillip Publishers, Cape Town, South Africa.

380 Coetzee, J.C. 2014. Potential indicators of the effective spawning biomass derived from the proportion
381 of eggs transported to or retained in either a west coast or south coast nursery area. Report
382 No. FISHERIES/2014/AUG/SWG-PEL/49, Department of Agriculture, Forestry and Fisheries,
383 South Africa.

384 Coetzee, J.C., van der Lingen, C.D., Hutchings, L., and Fairweather, T.P. 2008a. Has the fishery
385 contributed to a major shift in the distribution of South African sardine? ICES J. Mar. Sci. 65:
386 1676-1688. doi: 10.1093/icesjms/fsn184.

387 Coetzee, J.C., Merkle, D., de Moor, C.L., Twatwa, N.M., Barange, M., and Butterworth, D.S. 2008b.
388 Refined estimates of South African pelagic fish biomass from hydro-acoustic surveys:
389 quantifying the effects of target strength, signal attenuation and receiver saturation. African
390 Journal of Marine Science 30(2): 205-217. doi: 10.2989/AJMS.2008.30.2.1.551.

391 de Moor, C.L., and Butterworth, D.S. 2015. Assessing the South African sardine resource: two stocks
392 rather than one? African Journal of Marine Science, 37(1):41-51.
393 doi:10.2989/1814232x.2015.1009166.
394 (<http://www.tandfonline.com/doi/abs/10.2989/1814232X.2015.1009166#.Vbck1PmqpBc>)

395 de Moor, C.L., and Butterworth, D.S. 2016. Chapter 11: Incorporating technological interactions in a
396 joint Management Procedure for South Africa sardine and anchovy. *In* Management Science
397 in Fisheries: An Introduction to simulation-based methods. *Edited by* C.T.T. Edwards and D.J.
398 Dankel. Routledge, London, pp. 205-231. ISBN 978-1-138-80680.

399 de Moor, C.L., Butterworth, D.S., and Coetzee, J.C. 2008. Revised estimates of abundance of South
400 African sardine and anchovy from acoustic surveys adjusting for echosounder saturation in
401 earlier surveys and attenuation effects for sardine. African Journal of Marine Science 30(2):
402 219–232. doi: 10.2989/AJMS.2008.30.2.2.552.

403 de Moor, C.L., Butterworth, D.S. and De Oliveira, J.A.A. 2011. Is the management procedure approach
404 equipped to handle short-lived pelagic species with their boom and bust dynamics? The case
405 of the South African fishery for sardine and anchovy. ICES J. Mar. Sci. 68(10): 2075-2085.
406 doi: 10.1093/icesjms/fsr165.

407 de Moor, C.L., Butterworth, D.S., van der Lingen C.D., and Coetzee J.C. 2014. Alternative hypotheses
408 of two mixing stocks of South African sardine: initial testing. Report No. MARAM
409 IWS/DEC14/Sardine/P2. 2014 International Fisheries Stock Assessment Workshop, Cape

410 Town. Available from
411 http://www.mth.uct.ac.za/maram/workshop/2014/MARAM_IWS_DEC14_Sardine_P2.pdf.
412 [accessed 22 June 2016].

413 de Moor, C.L., Johnston, S.J., Brandão, A., Rademeyer, R.A., Glazer, J.P., Furman, L.B., and
414 Butterworth, D.S. 2015. A review of the assessments of the major fisheries resources in South
415 Africa. African Journal of Marine Science 37(3): 285-311. Doi:
416 10.2989/1814232X.2015.1070201.

417 Dunn, A., Haddon, M., Parma, A.M., and Punt, A.E. 2015. International review panel report for the 2015
418 international fisheries stock assessment workshop. 2015 International Fisheries Stock
419 Assessment Workshop, Cape Town. Available from
420 http://www.mth.uct.ac.za/maram/workshop/2015/MARAM_IWS_DEC15_General_8.pdf.
421 [accessed 20 June 2016]

422 Harrod, C. and Griffiths, D. 2005. *Ichthyocotylurus erraticus* (Digenea: Strigidæ): factors affecting
423 infection intensity and the effects of infection on pollan (*Coregonus autumnalis*), a glacial relict
424 fish. Parasitology 131: 511-519. doi: [10.1017/S0031182005007985](https://doi.org/10.1017/S0031182005007985).

425 Hutchings, L., van der Lingen, C.D., Shannon, L.J., Crawford, R.J.M., Verheye, H.M.S., Bartholomae,
426 C.H., van der Plas, A.K., Louw, D., Kreiner, A., Ostrowski, M., Fidel, Q., Barlow, R.G., Lamont,
427 T., Coetzee, J., Shillington, F., Veitch, J., Currie, J.C., and Monteiro, P.M.S. 2009. The
428 Benguela Current: An ecosystem of four components. Progress in Oceanography 83(1-4): 15-
429 32. doi: 10.1016/j.pocean.2009.07.046.

430 Fournier, D.A., Skaug, H.J., Ancheta, J., Ianelli, J., Magnusson, A., Maunder, M.N., Nielsen, A., and
431 Sibert, J. 2012. AD Model Builder: using automatic differentiation for statistical inference of
432 highly parameterized complex nonlinear models. Optimization Methods and Software.
433 27(2):233-249. doi: 10.1080/10556788.2011.597854.

434 Fréon, P., Coetzee, J., van der Lingen, C.D., Connell, A.D., O'Donoghue, S.H., Roberts, M.J., Demarcq,
435 H., Attwood, C., Lamberth, S.I., and Hutchings, L. 2010. A review and tests of hypotheses about
436 causes of the KwaZulu-Natal sardine run. African Journal of Marine Science 32(2): 449-479.
437 doi: 10.2989/1814232X.2010.519451.

438 Gelman, A., Carlin, J.B., Stern, H.S., and Rubin, D.B. (eds). 1995. Bayesian data analysis. Chapman
439 & Hall, New York.

440 Kurota, H., McAllister, M.K., Lawson, G.L., Nogueira, J.I., Teo, S.L.H., and Block, B.A. 2009. A
441 sequential Bayesian methodology to estimate movement and exploitation rates using electronic
442 and conventional tag data: application to Atlantic bluefin tuna (*Thunnus thynnus*). *Can. J. Fish.*
443 *Aquat. Sci.* 66: 321-342. Doi: 10.1139/F08-197.

444 Mackenzie, K. 1990. Cestode parasites as biological tags for mackerel (*Scomber scombrus* L.) in the
445 Northeast Atlantic. *J. Cons. Int. Explor. Mer* 46: 155-166.

446 Mackenzie, K., and Abaunza, P. 2014. Chapter 10: Parasites as biological tags. *In* *Stock Identification*
447 *Methods: Applications in Fishery Science*, 2nd Edition. *Edited by* S.X. Cadrin, L.A. Kerr and S.
448 Mariani. Academic Press, Cambridge. pp. 185-203. ISBN 9780123970039.

449 Margolis, L. Are naturally-occurring parasite “tags” stable? An appraisal from four case histories
450 involving Pacific salmonids. *N. Pac. Anadr. Fish Comm. Bulletin No 1*:205-212.

451 Miller, D.C.M., Moloney, C.L., van der Lingen, C.D., Lett, C., Mullon, C., and Field, J.G. 2006. Modelling
452 the effects of physical–biological interactions and spatial variability in spawning and nursery
453 areas on transport and retention of sardine *Sardinops sagax* eggs and larvae in the southern
454 Benguela ecosystem. *Journal of Marine Systems* 61(3-4): 212–229. doi:
455 10.1016/j.jmarsys.2005.03.007.

456 Moles, A., and Jensen, K. 2000. Prevalence of the sockeye salmon brain parasite *Myxobolus arcticus*
457 in selected Alaska streams. *Alaska Fisheries Research Bulletin* 6:85-93.

458 Niewiadomska, K. 2002. Family Strigeidae Railliet, 1919. *In* *Keys to the Trematoda*, Volume 1. *Edited*
459 *by* D.I. Gibson, A. Jones and R.A. Bray. CAB International and The Natural History Museum,
460 London. pp. 231-241.

461 Poulin, R., and Kamiya, T. 2015. Parasites as biological tags of fish stocks: a meta-analysis of their
462 discriminatory power. *Parasitology*. 142: 145-155. doi: 10.1017/S0031182013001534.

463 Reed, C.C., MacKenzie, K., and van der Lingen, C.D. 2012. Parasites of South African sardines,
464 *Sardinops sagax*, and an assessment of their potential as biological tags. *Bulletin of the*
465 *European Association of Fish Pathologists* 32(2): 41-48.

466 Sibert, J.R., Hampton, J., Fournier D.A., and Bills, P.J. 1999. An advection-diffusion-reaction model for
467 the estimation of fish movement parameters from tagging data, with application to skipjack tuna
468 (*Katsuwonus pelamis*). *Can. J. Fish. Aquat. Sci.* 56: 925-938.

469 Smith, A.D.M., Fernandez, C., Parma, A., and Punt, A.E. 2011. International review panel report for the
470 2011 international fisheries stock assessment workshop. 2011 International Fisheries Stock
471 Assessment Workshop, Cape Town. Available from
472 <http://www.mth.uct.ac.za/maram/workshop/workshop2011.php>. [accessed 22 June 2016].

473 van der Lingen, C.D. 2011. The biological basis for hypothesizing multiple stocks in South African
474 sardine *Sardinops sagax*. Report No. MARAM IWS/DEC11/P/OMP/P7. 2011 International
475 Fisheries Stock Assessment Workshop, Cape Town. Available from
476 <http://www.mth.uct.ac.za/maram/workshop/workshop2011.php>. [accessed 22 June 2016].

477 van der Lingen, C.D., Coetzee, J.C., Demarcq, H., Drapeau, L., Fairweather, T.P., Hutchings, L. 2005.
478 An eastward shift in the distribution of southern Benguela sardine. GLOBEC International
479 Newsletter 11:17-22. Available on request from [http://www.sea.uct.ac.za/about-resource-](http://www.sea.uct.ac.za/about-resource-centre)
480 [centre](http://www.sea.uct.ac.za/about-resource-centre).

481 van der Lingen, C.D., Durholtz, M.D., Fairweather, T.P., and Melo, Y. 2009. Spatial variability in
482 biological characteristics of southern Benguela sardine and the possible existence of two
483 stocks. Report No. MCM/2009/SWG-PEL/39, Marine and Coastal Management, South Africa.

484 van der Lingen, C.D., Weston, L.F., Ssempe, N.N., and Reed, C.C. 2015. Incorporating parasite data
485 in population structure studies of South African sardine *Sardinops sagax*.
486 *Parasitology*.142:156-167. doi: 10.1017/S0031182014000018.

487 Weston, L.F. 2013. Temporal and spatial variability in 'tetracotyle' type metacercariae infection in the
488 South African sardine, *Sardinops sagax*. M. Sc. thesis, Department of Biological Sciences,
489 University of Cape Town.

490 Weston, L.F., Reed, C.C., Hendricks, M.R., Winker, H., and van der Lingen, C.D. 2015. Stock
491 discrimination of South African sardine (*Sardinops sagax*) using a digenean parasite biological
492 tag. *Fisheries Research*. 164: 120-129. doi: 10.1016/j.fishres.2014.11.002.

493

494 **Figure Legends**

495 **Fig. 1.** Map of the southern African coastline showing places mentioned in the text and the 200m depth
496 contour (dashed line). The composite egg density (eggs per square metre; circles with diameter
497 proportional to abundance and the maximum size = 9 193 eggs per m²) is shown, derived from CalVET
498 net samples collected during annual hydro-acoustic surveys of small pelagic biomass, conducted
499 between Hondeklip Bay and Port Alfred from 1986–2010; updated from van der Lingen (2011). The
500 insert shows the annual total sardine landings taken by the small pelagic fishery to the west and east
501 of Cape Agulhas over the period 1950-2015.

502 **Fig. 2.** The locations of sardine sampled from November hydro-acoustic surveys that have been
503 processed for the “tetracotyle”-type metacercarian parasite (TTMP), excluding samples between 20°
504 and 22°E. The insert shows the average 2010-2015 prevalence-by-length in sardine sampled west of
505 20°E and east of 22°E.

506 **Fig. 3.** The hydro-acoustic survey estimated (\blacktriangle) and associated model-predicted sardine abundance
507 (— S_{with} and \cdots S_{without}) at the joint posterior mode for a) November total biomass for the west
508 stock, b) November total biomass for the south stock, c) May recruitment for the west stock, and d) May
509 recruitment for the south stock. Observations are shown together with their 95% confidence intervals.

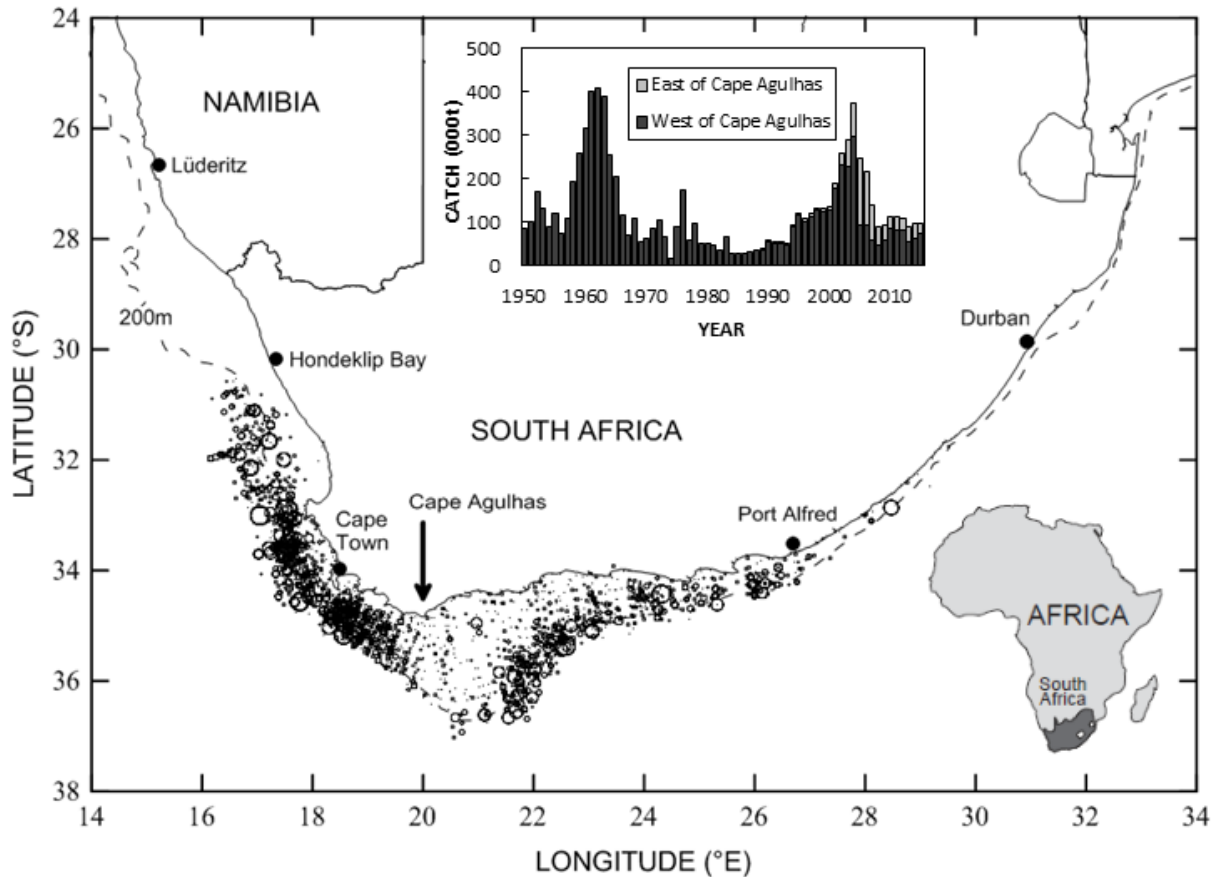
510 **Fig. 4.** The annual von Bertalanffy growth curves estimated for the west stock for S_{with} by allowing for
511 auto-correlated residuals for variation about the age at which length is zero.

512 **Fig. 5.** Model-predicted November sardine recruitment plotted against spawner biomass from 1984 to
513 2014, with the estimated hockey stick stock-recruitment relationships at the joint posterior mode, for a)
514 the west stock and b) the south stock. Results for S_{with} (\blacklozenge , —) and S_{without} (\blacklozenge , —) are overlaid for
515 ease of comparison. The dotted lines indicate the replacement lines. Note the scale of the axes differ
516 between the plots.

517 **Fig. 6.** The prevalence-by-length of sardine infected with the TTMP, as sampled from the November
518 hydro-acoustic surveys between 2010 and 2015 (\blacklozenge), and predicted by S_{with} (—). The light grey bars
519 indicate the sample size-at-length.

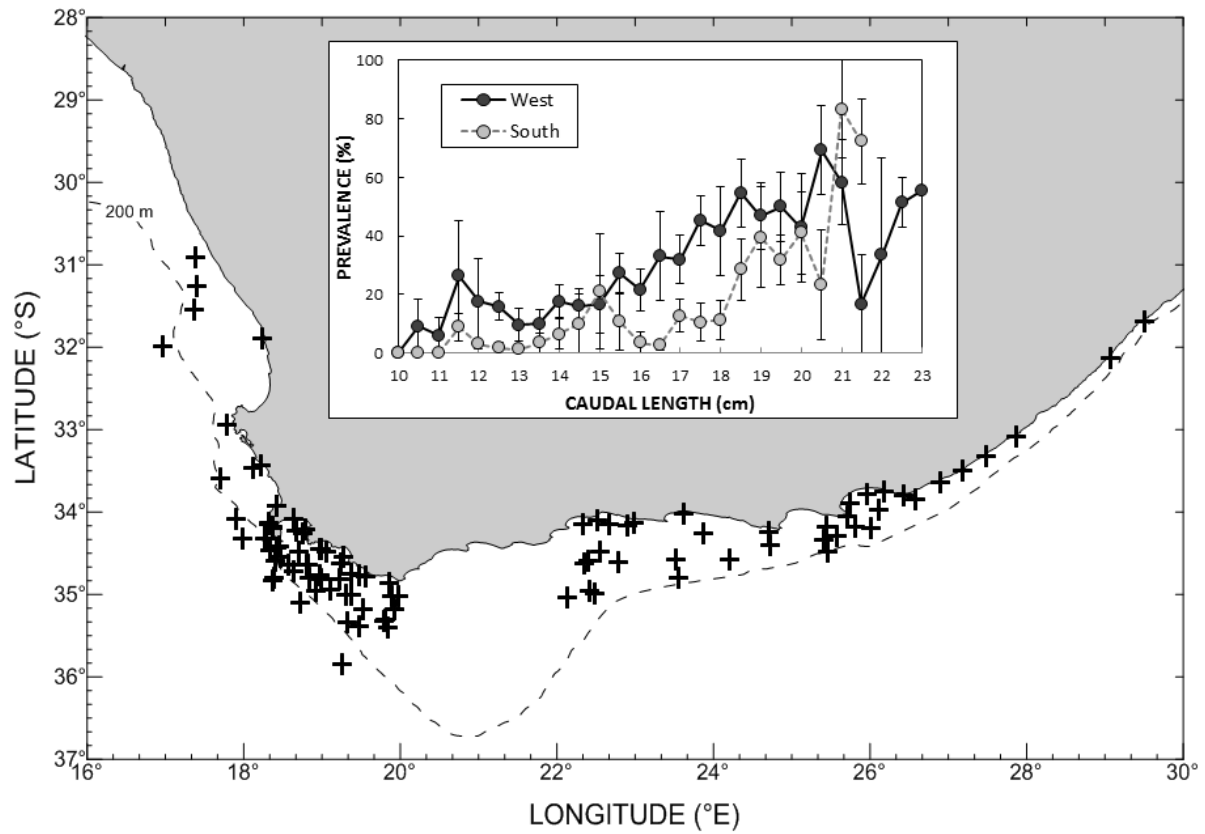
520 **Fig. 7.** The posterior median (\blacklozenge) and 95% probability intervals of proportions of west stock sardine not
521 already infected with the parasite that become infected between 2008 and 2015, from S_{with} .

522 **Fig. 8.** The posterior median and 95% probability intervals of proportions of 1-year olds estimated to
 523 move from the west to the south stock each November from 2008 to 2015, for S_{with} (♦) and $S_{without}$ (●).
 524 Results for all years are shown in the online supplementary material (Fig S6¹²).
 525



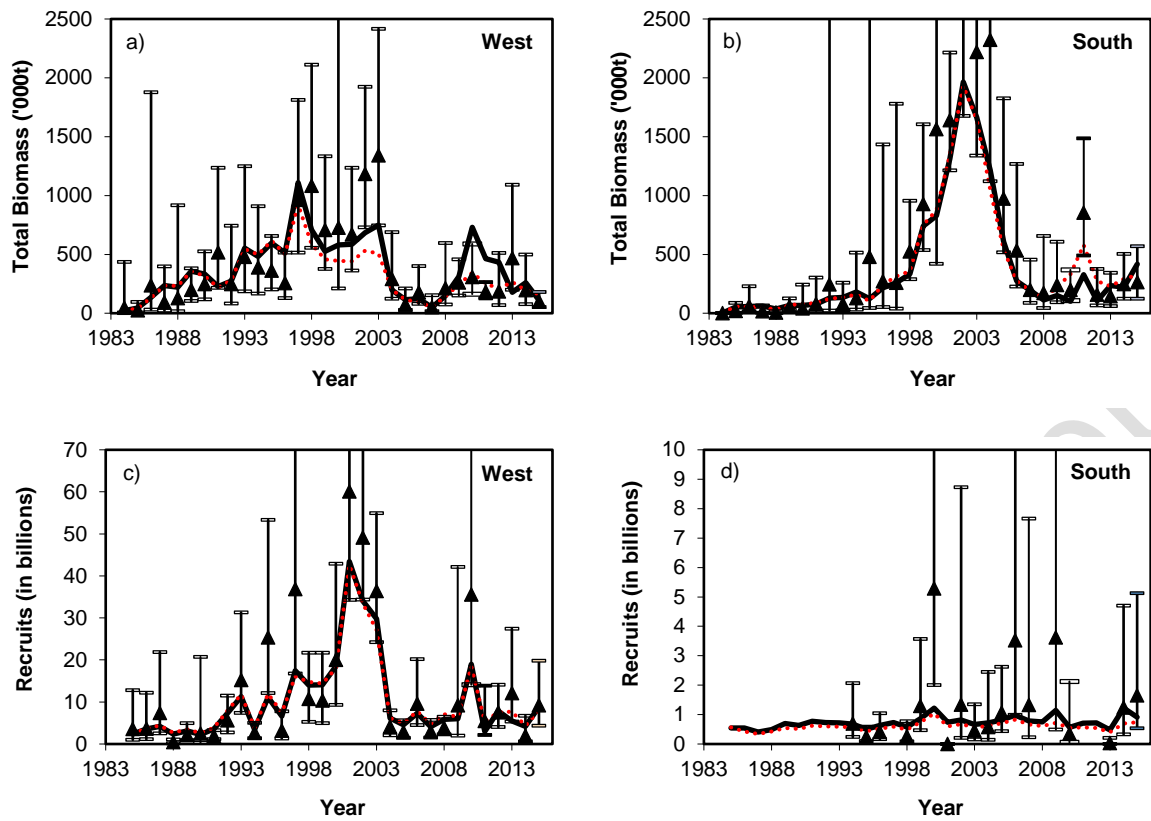
526
 527 **Fig. 1.** Map of the southern African coastline showing places mentioned in the text and the 200m depth
 528 contour (dashed line). The composite egg density (eggs per square metre; circles with diameter
 529 proportional to abundance and the maximum size = 9 193 eggs per m²) is shown, derived from CalVET
 530 net samples collected during annual hydro-acoustic surveys of small pelagic biomass, conducted
 531 between Hondeklip Bay and Port Alfred from 1986–2010; updated from van der Lingen (2011). The
 532 insert shows the annual total sardine landings taken by the small pelagic fishery to the west and east
 533 of Cape Agulhas over the period 1950-2015.
 534

¹² Supplementary data are available with the article through the journal Web site at XXXX.



535

536 **Fig. 2.** The locations of sardine sampled from November hydro-acoustic surveys that have been
 537 processed for the “tetracotyle”-type metacercarian parasite (TTMP), excluding samples between 20°
 538 and 22°E. The insert shows the average 2010-2015 prevalence-by-length in sardine sampled west of
 539 20°E and east of 22°E.

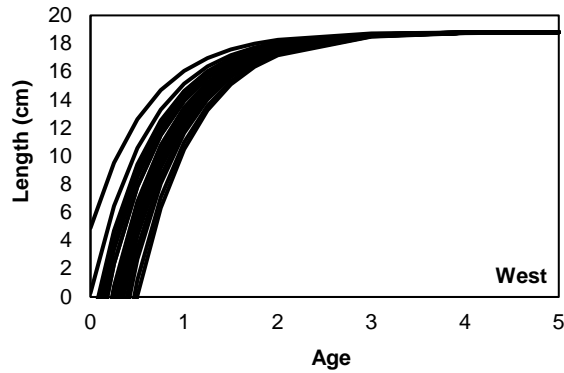


540

541

542 **Fig. 3.** The hydro-acoustic survey estimated (\blacktriangle) and associated model-predicted sardine abundance
 543 (— S_{with} and $S_{without}$) at the joint posterior mode for a) November total biomass for the west
 544 stock, b) November total biomass for the south stock, c) May recruitment for the west stock, and d)
 545 recruitment for the south stock. Observations are shown together with their 95% confidence intervals.

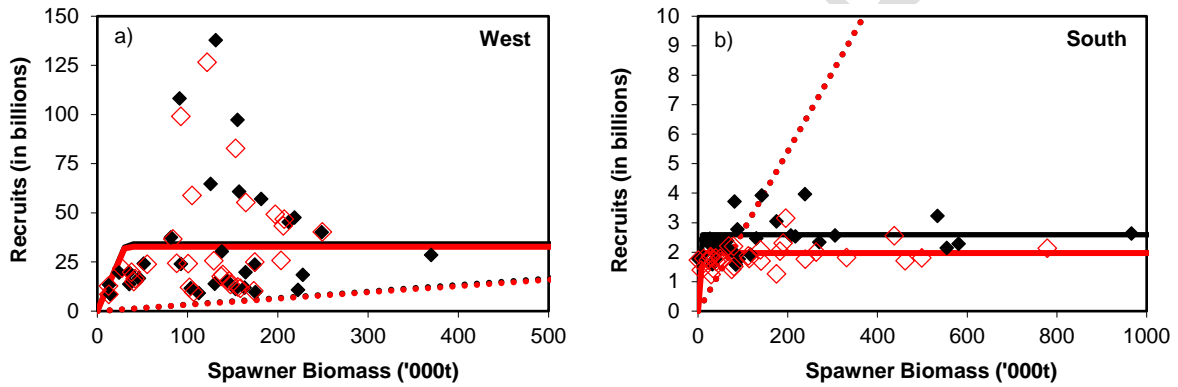
546



547

548 **Fig. 4.** The annual von Bertalanffy growth curves estimated for the west stock for S_{with} by allowing for
 549 auto-correlated residuals for variation about the age at which length is zero. The corresponding plot for
 550 S_{without} is similar.

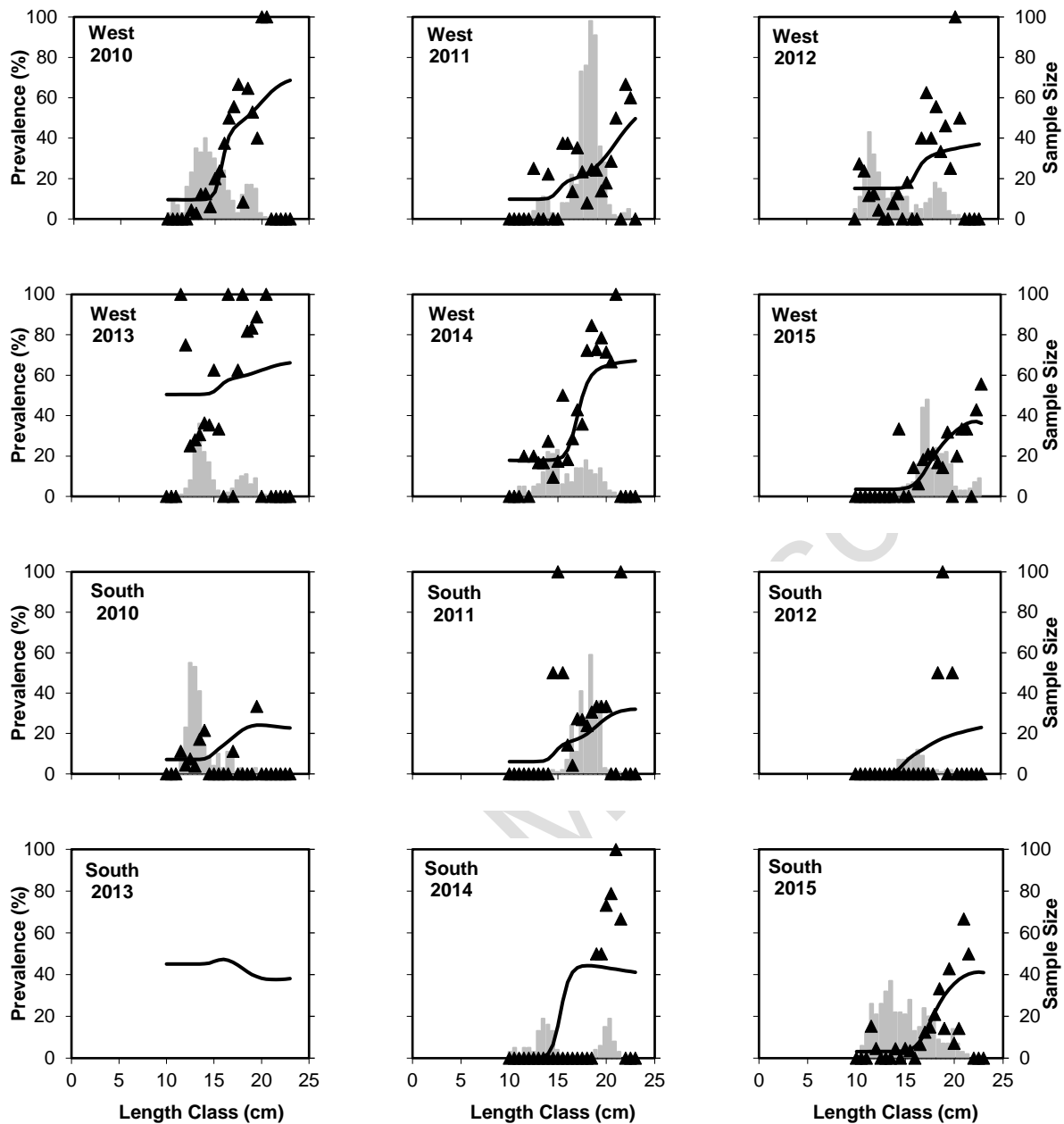
551



552

553 **Fig. 5.** Model-predicted November sardine recruitment plotted against spawner biomass from 1984 to
 554 2014, with the estimated hockey stick stock-recruitment relationships at the joint posterior mode, for a)
 555 the west stock and b) the south stock. Results for S_{with} (\blacklozenge , —) and S_{without} ($\color{red}\lozenge$, —) are overlaid for
 556 ease of comparison. The dotted lines indicate the replacement lines. Note the scale of the axes differ
 557 between the plots.

558



559

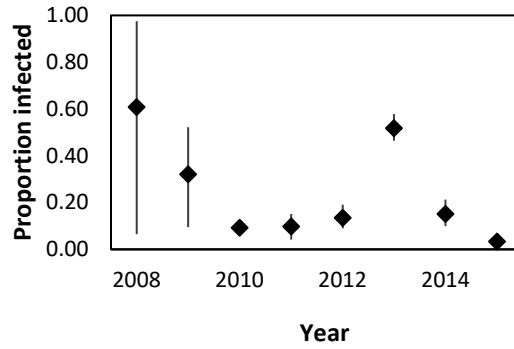
560

561

562

563 **Fig. 6.** The prevalence-by-length of sardine infected with the TTMP, as sampled from the November
 564 hydro-acoustic surveys between 2010 and 2015 (\blacklozenge), and predicted by S_{with} (—). The light grey bars
 565 indicate the sample size-at-length which influences the weight assigned to each observed data point
 566 through the assumed binomial likelihood.

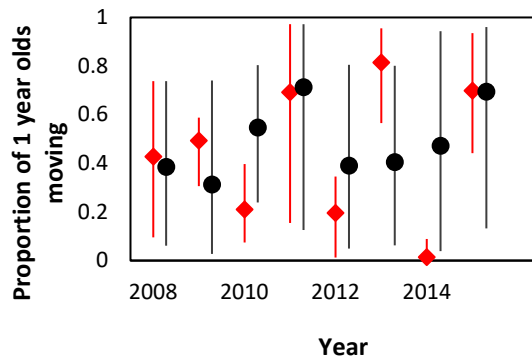
567



568

569 **Fig. 7.** The posterior median (◆) and 95% probability intervals of proportions of west stock sardine not
 570 already infected with the parasite that become infected between 2008 and 2015, from S_{with} .

571



572

573 **Fig. 8.** The posterior median and 95% probability intervals of proportions of 1-year olds estimated to
 574 move from the west to the south stock each November from 2008 to 2015, for S_{with} (◆) and $S_{without}$ (●).

575 Results for all years are shown in the online supplementary material (Fig S6¹³).

¹³ Supplementary data are available with the article through the journal Web site at XXXX.

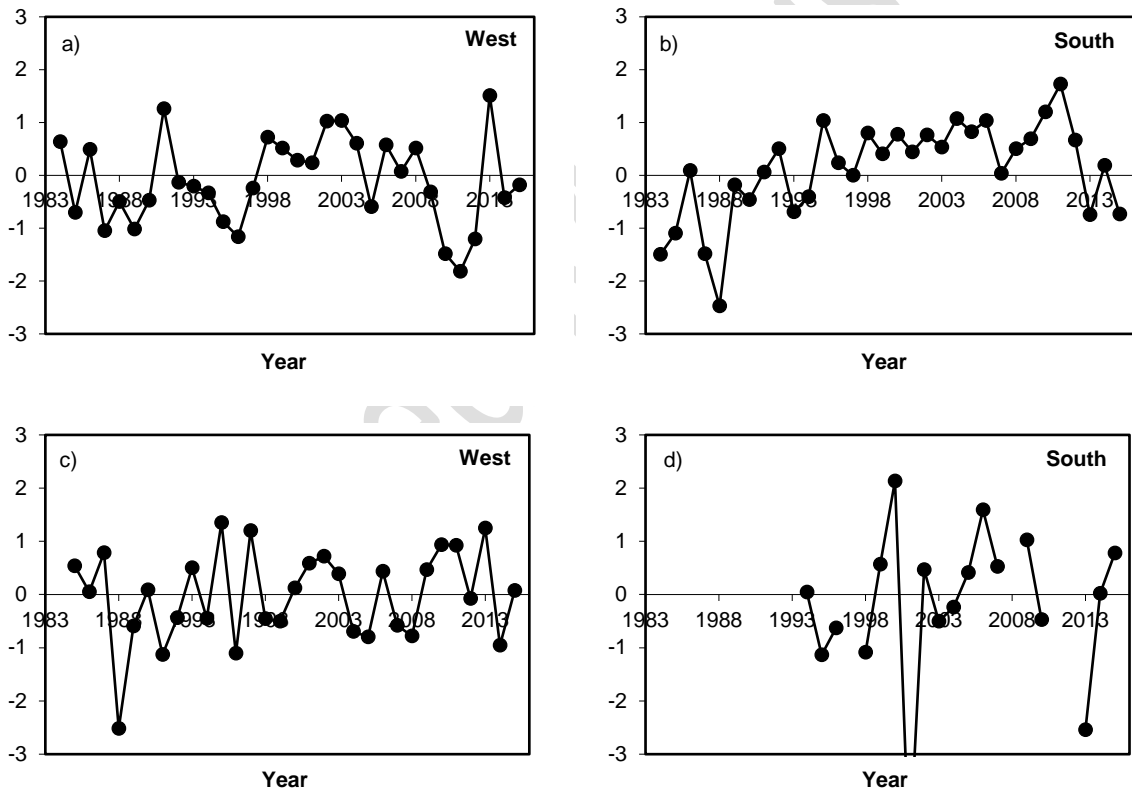
1 The Quantitative Use of Parasite Data in Multi-Stock Modelling of South African

2 Sardine (*Sardinops sagax*)

3 Carryn L. de Moor, Douglas S. Butterworth, Carl D. van der Lingen

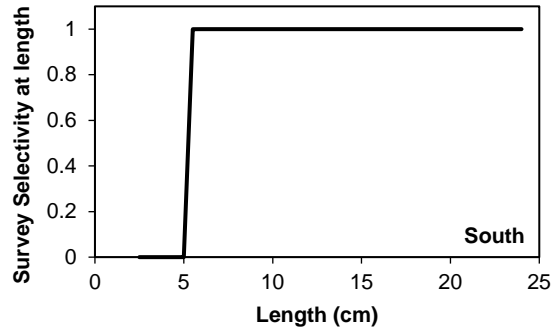
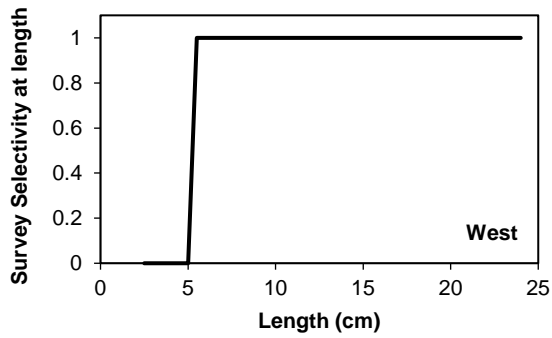
4
5 This supplementary material consists of three sections: Section A shows further results not given in the main text;
6 Section B details the Bayesian assessment model for the South African sardine resource; and Section C details the
7 derivation of the method used to inform the prior distribution for hydro-acoustic survey bias.

9 Section A: Additional results

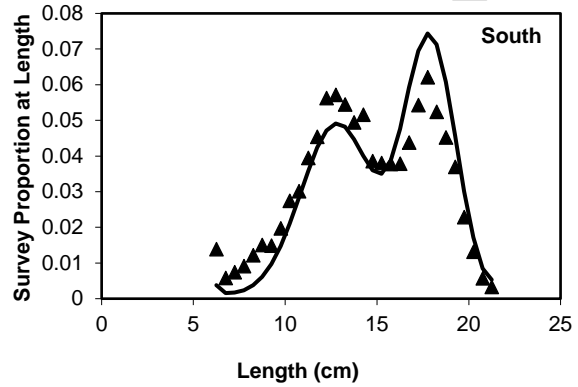
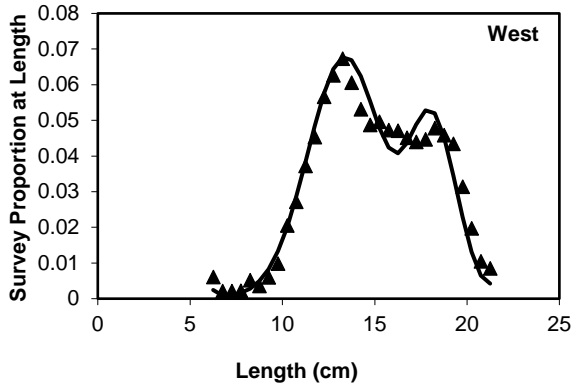


12
13 **Fig. S1.** Standardised residuals from the fit of S_{with} to a) November total biomass for the west stock, b)
14 November total biomass for the south stock, c) May recruitment for the west stock, and d) May
15 recruitment for the south stock. The corresponding plots for $S_{without}$ are similar.

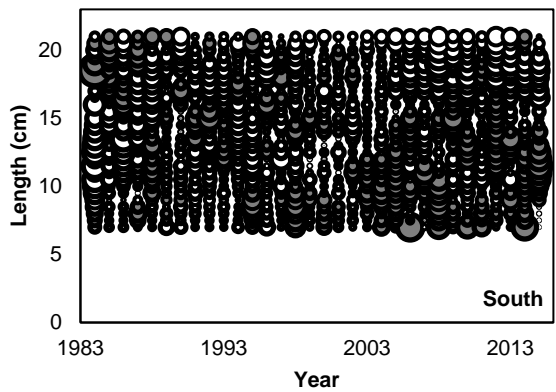
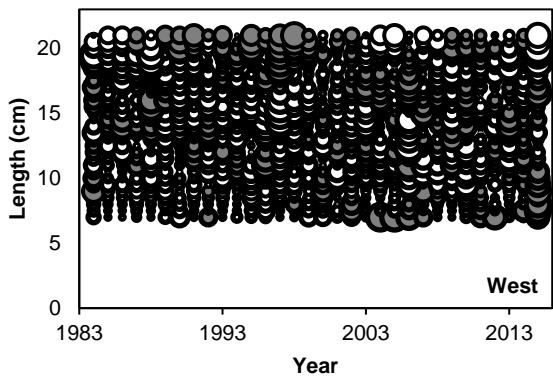
16



17



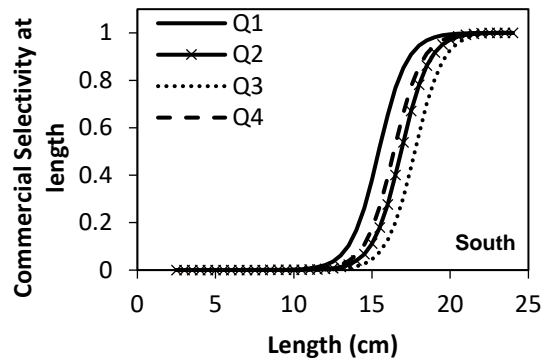
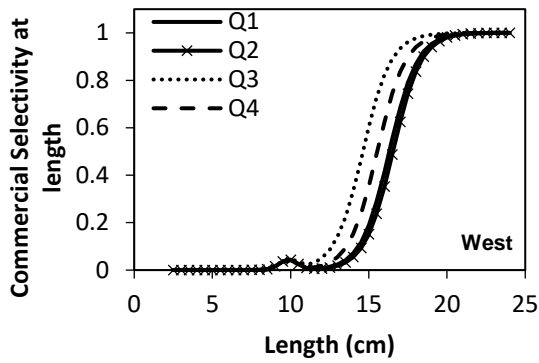
18



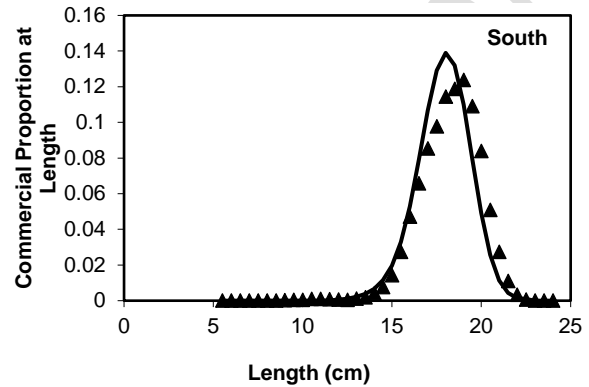
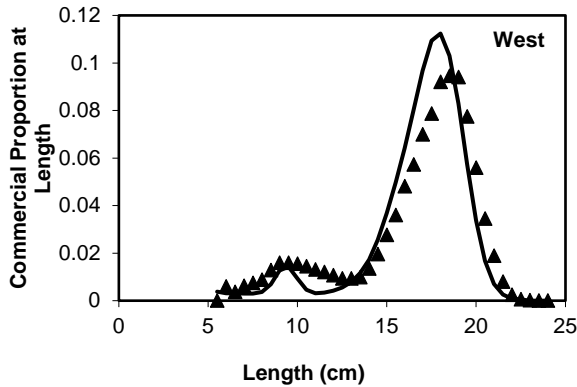
19

20 **Fig. S2.** The model estimated survey selectivity curves for S_{with} (top row), the average (over all years)
 21 observed (\blacktriangle) and model predicted (—) proportions-at-length in the hydro-acoustic surveys (middle
 22 row), and the standardized residuals from these fits (lower row). The radius of the bubbles in the lower
 23 plots corresponds with the absolute residual size, while the clear/opaque bubbles denote
 24 negative/positive residuals. The corresponding plots for S_{without} are similar, with some improvement in the
 25 fit for the South stock.

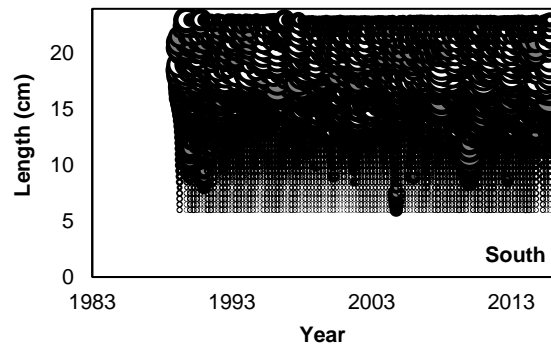
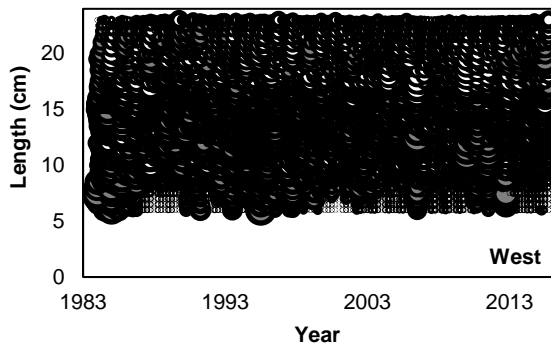
26



27



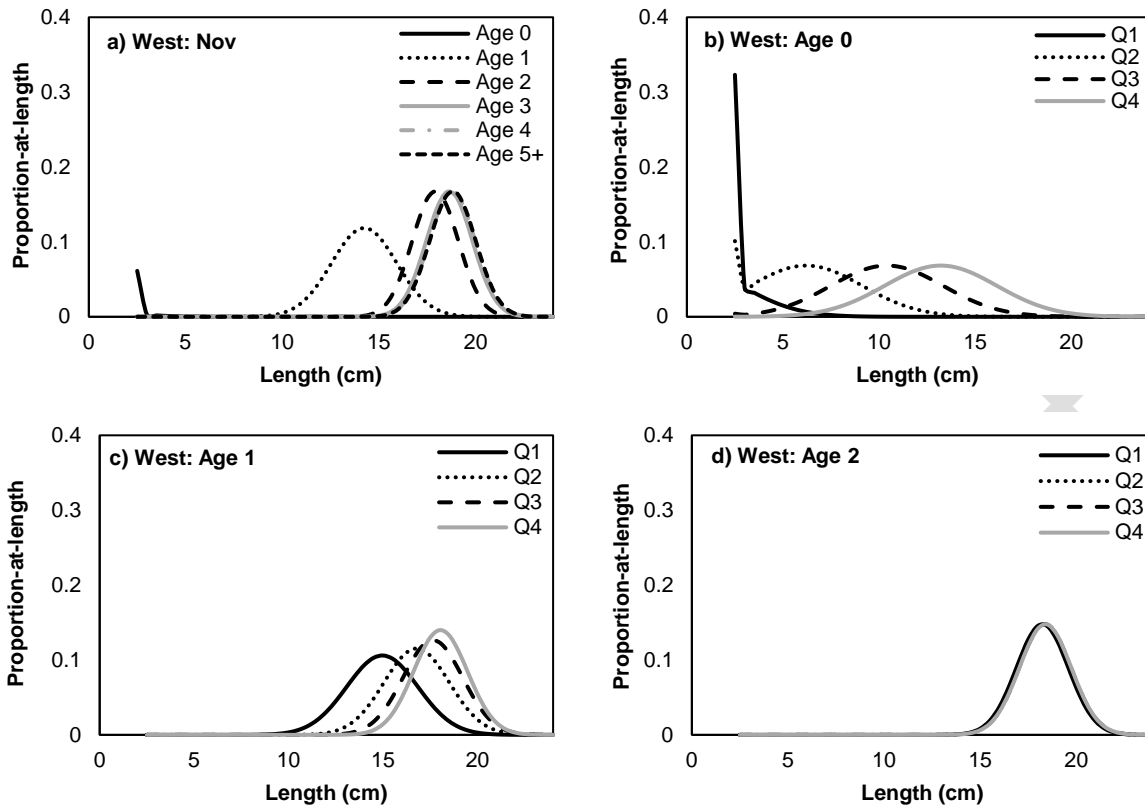
28



29

30 **Fig. S3.** The model estimated commercial selectivity curves for S_{with} for 2002-2015¹ (top row), the average
 31 (over all quarters and years) observed (\blacktriangle) and model predicted (—) proportions-at-length in the
 32 commercial catches (middle row), and the standardized residuals from these fits (lower row). The radius
 33 of the bubbles in the lower plots corresponds with the absolute residual size, while the clear/opaque
 34 bubbles denote negative/positive residuals. The corresponding plots for S_{without} are similar.
 35

¹ Four pre-determined time periods are used (Table S1).



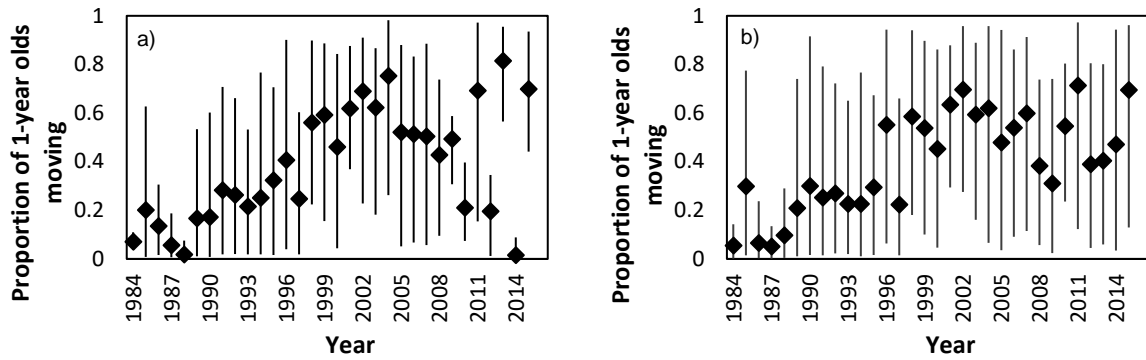
36

37

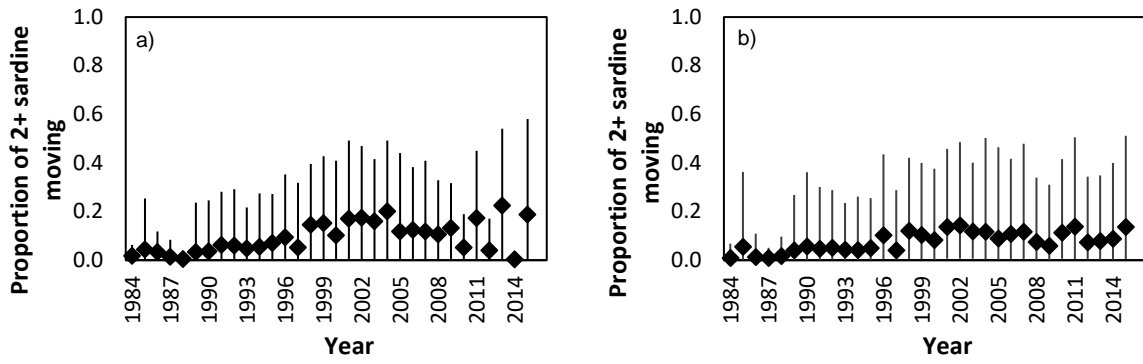
38 **Fig. S4.** The model estimated distribution of length-at-age for S_{with} in 2014. The distributions vary between
 39 years, with greater variability for the smaller age groups (Equation S8). The plots show a) the difference
 40 in length distributions at all ages at the time of the November hydro-acoustic survey, the difference in
 41 length distributions mid-way through each quarter for b) age 0, c) age 1 and d) age 2. The sudden increase
 42 in the proportion of the 2 cm length class is due to this being a minus group. The distribution at age 0
 43 excludes any individuals not yet recruited to the population, and hence may not sum to 1 at the time of
 44 the survey. The distributions for the south stock and for $S_{without}$ are similar.

45

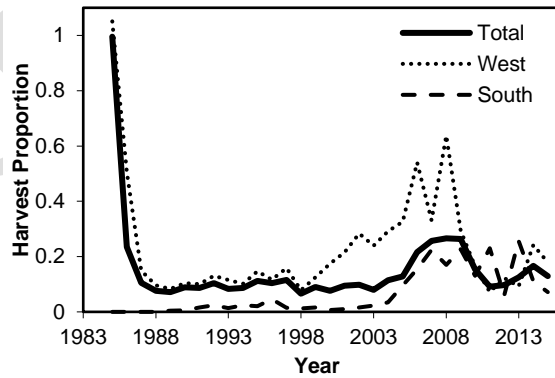
Accepted Manuscript



46
 47 **Fig. S5.** The posterior median and 95% probability intervals of proportions of 1-year olds estimated to
 48 move from the west to the south stock each November, for a) S_{with} and b) $S_{without}$.
 49



50
 51 **Fig. S6.** The posterior median and 95% probability intervals of proportions of 2+ sardine estimated to
 52 move from the west to the south stock each November, for a) S_{with} and b) $S_{without}$.
 53



54
 55 **Fig S7.** The harvest rate (annual catch in tons divided by the total model predicted biomass from the
 56 previous November in tons) from S_{with} . The corresponding plot for $S_{without}$ is similar.
 57

58 **Section B: Bayesian assessment model for the South African sardine resource**

59

60 The assessment is run from November $y_1 = 1984$ to November $y_n = 2014$, with the following subscript
61 notation:

- 62 • quarters $q=1$ denoting November $y-1$ to January y , $q=2$ denoting February to April y , $q=3$
63 denoting May to July y and $q=4$ denoting August to October y ;
- 64 • ages $a = 0$ to a plus group of $a = 5^+$;
- 65 • lengths from a minus group of $l = 2.5^- \text{ cm}$ to a plus group of $l = 24^+ \text{ cm}$;
- 66 • stocks $j = W$ or $j = S$ denote the west and south stocks, respectively;
- 67 • infection $p = NI$ or $p = I$ denote the sardine uninfected and infected with the digenean
68 ‘tetracotyle-type’ metacercarian parasite (TTMP), respectively.

69 All parameters are defined in Tables S1 and S2.

70

71 Population Dynamics

72 *Numbers-at-age at 1 November before movement or infection*

73
$$N_{j,p,y,a}^{S*} = \left(\left(\left(N_{j,p,y-1,a-1}^S e^{-M_{y,a-1}^S/8} - C_{j,p,y,1,a-1}^S \right) e^{-M_{y,a-1}^S/4} - C_{j,p,y,2,a-1}^S \right) e^{-M_{y,a-1}^S/4} - C_{j,p,y,3,a-1}^S \right) e^{-M_{y,a-1}^S/4} - C_{j,p,y,4,a-1}^S \right) e^{-M_{y,a-1}^S/8}$$

74
$$p = I, NI, y_1 \leq y \leq y_n, 1 \leq a \leq 4$$

75
$$N_{j,p,y,a=5^+}^{S*} = \left(\left(\left(N_{j,p,y-1,4}^S e^{-M_{y,4}^S/8} - C_{j,p,y,1,4}^S \right) e^{-M_{y,4}^S/4} - C_{j,p,y,2,4}^S \right) e^{-M_{y,4}^S/4} - C_{j,p,y,3,4}^S \right) e^{-M_{y,4}^S/4} - C_{j,p,y,4,4}^S \right) e^{-M_{y,4}^S/8}$$

$$+ \left(\left(\left(N_{j,p,y-1,5^+}^S e^{-M_{y,5^+}^S/8} - C_{j,p,y,1,5^+}^S \right) e^{-M_{y,5^+}^S/4} - C_{j,p,y,2,5^+}^S \right) e^{-M_{y,5^+}^S/4} - C_{j,p,y,3,5^+}^S \right) e^{-M_{y,5^+}^S/4} - C_{j,p,y,4,5^+}^S \right) e^{-M_{y,5^+}^S/8}$$

76
$$p = I, NI, y_1 \leq y \leq y_n \tag{S1}$$

77

78 *Infection of west stock sardine*

79
$$N_{W,NI,y,a}^{S**} = (1 - I_y) N_{W,NI,y,a}^{S*} \quad y_1 \leq y \leq y_n, 1 \leq a \leq 5^+$$

80
$$N_{W,I,y,a}^{S**} = N_{W,I,y,a}^{S*} + I_y N_{W,NI,y,a}^{S*} \quad y_1 \leq y \leq y_n, 1 \leq a \leq 5^+$$

81
$$N_{S,p,y,a}^{S**} = N_{S,p,y,a}^{S*} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq a \leq 5^+ \tag{S2}$$

82

83 *Movement of west stock ($j = W$) sardine to the south stock ($j = S$)*

84
$$N_{W,p,y,a}^S = (1 - move_{y,a}) N_{W,p,y,a}^{S*} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq a \leq 5^+$$

85
$$N_{S,p,y,a}^S = N_{S,p,y,a}^{S*} + move_{y,a} N_{W,p,y,a}^{S*} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq a \leq 5^+ \tag{S3}$$

86

87 *Numbers-at-age mid-way through each quarter (for use in catch equations)*

$$88 \quad N_{j,p,y,1,a}^S = N_{j,p,y-1,a}^S e^{-M_{y,a}^S/8} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq a \leq 5^+$$

$$89 \quad N_{j,p,y,q,a}^S = (N_{j,p,y,q-1,a}^S - C_{j,p,y,q-1,a}^S) e^{-M_{y,a}^S/4} \quad p = I, NI, y_1 \leq y \leq y_n, 2 \leq q \leq 4, 1 \leq a \leq 5^+ \quad (S4)$$

90

91 *Numbers-at-length at 1 November (after infection and movement)*

$$92 \quad N_{j,p,y,l}^S = \sum_{a=0}^{5^+} A_{j,y,a,l}^{sur} N_{j,p,y,a}^S \quad p = I, NI, y_1 \leq y \leq y_n, 2.5^- \text{ cm} \leq l \leq 24^+ \text{ cm} \quad (S5)$$

93 The model predicted numbers-at-length of ages 1+ only are given by:

$$94 \quad N_{j,p,y,l}^{S,1+} = \sum_{a=1}^{5^+} A_{j,y,a,l}^{sur} N_{j,p,y,a}^S \quad p = I, NI, y_1 \leq y \leq y_n, 2.5^- \text{ cm} \leq l \leq 24^+ \text{ cm} \quad (S6)$$

95 The proportion of sardine of age a in stock j that fall in length group l at 1 November, $A_{j,y,a,l}^{sur}$, is
 96 calculated under the assumption that length-at-age is normally distributed about a von Bertalanffy growth
 97 curve:

$$98 \quad A_{j,y,a,l}^{sur} \sim N(L_{j,\infty}(1 - e^{-\kappa_j(a-t_{0,j,y})}), g_{j,a}^2) \quad y_1 \leq y \leq y_n, 0 \leq a \leq 5^+, 2.5^- \text{ cm} \leq l \leq 24^+ \text{ cm} \quad (S7)^2$$

99 with

$$100 \quad t_{0,j,y} = \begin{cases} t_{0,j} + \varepsilon_y^t & y = y_1 \\ t_{0,j} + \rho^t \varepsilon_{y-1}^t + \sqrt{1 - (\rho^t)^2} \varepsilon_y^t & y_1 < y \leq y_n \end{cases} \quad (S8)^3$$

101

102 *Spawning biomass and biomass associated with the November survey*

$$103 \quad SSB_{j,y}^S = \sum_p \sum_{l=2.5^-}^{24^+} f_{j,y,l}^S N_{j,p,y,l}^{S,1+} w_{j,y,l}^S \quad y_1 \leq y \leq y_n \quad (S9)$$

$$104 \quad B_{j,y}^S = k_{j,N}^S \sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S w_{j,y,l}^S \quad y_1 \leq y \leq y_n \quad (S10)^4$$

$$105 \quad \text{where } w_{j,y,l}^S = w_{j,l}^S \times \frac{\tilde{w}_{j,y}}{\left(\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S w_{j,l}^S \right) / \left(\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S \right)} \quad y_1 \leq y \leq y_n, 2.5^- \text{ cm} \leq l \leq 24^+ \text{ cm} \quad (S11)$$

106

² Given the allowance for early/late recruitment in varying $t_{0,y}$ estimates annually, there may be some proportion of this distribution below a length of zero (due to late recruitment). In these cases, this proportion is removed from the proportion-at-length of the minus length class.

³ Additive error allows for early or late recruitment. While the timing of recruitment may vary between stocks due to differing environmental conditions on the west and south coasts, the same autocorrelation parameters are assumed here for simplicity.

⁴ The biomass in $y_n = 2015$ excludes age 0 fish, although the contribution of age 0 fish to the total biomass should be minor.

107 Commercial selectivity

$$S_{j,y,q,l} = \begin{cases} 0 & l \leq 5.5 \text{ cm} \\ \chi_j \exp\left\{-\frac{(l + 0.25 - \bar{l}_{1,j})^2}{(\sigma_1^{sel})^2}\right\} + \frac{1}{1 + \exp\left\{-\frac{(l - \bar{l}_{2,j,y,q})}{\sigma_{2,y}^{sel}}\right\}} & 6 \text{ cm} \leq l \leq l_{\max} = 23 \text{ cm} \\ S_{j,y,l \max} & l > l_{\max} \end{cases}$$

$$109 \quad y_1 \leq y \leq y_n, 1 \leq q \leq 4 \quad (\text{S12})$$

$$110 \quad S_{j,y,q,a} = \sum_{l=2.5^-}^{23.5^+} A_{j,y,q,a,l}^{com} S_{j,y,q,l} \quad y_1 \leq y \leq y_n, 1 \leq q \leq 4, 0 \leq a \leq 5^+ \quad (\text{S13})$$

$$111 \quad \text{where } A_{j,y,q,a,l}^{com} \sim N\left(L_{j,\infty} \left(1 - e^{-\kappa_j(a+(2q-1)/8-t_{0,y})}\right), \mathcal{G}_{j,a}^2\right)$$

$$112 \quad y_1 \leq y \leq y_n, 1 \leq q \leq 4, 0 \leq a \leq 5^+, 2.5^- \text{ cm} \leq l \leq 24^+ \text{ cm} \quad (\text{S14})$$

113

114 *Bycatch in the anchovy directed fishery*

$$115 \quad C_{j,p,y,q,a}^{bycatch} = \begin{cases} N_{j,p,y,q,a}^S F_{j,y,q,a}^{By} & 0 \leq a \leq 1 \\ 0 & 2 \leq a \leq 5^+ \end{cases} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq q \leq 4 \quad (\text{S15})^5$$

116

117 *Catch in the directed sardine and round herring bycatch fisheries*

$$118 \quad C_{j,p,y,q,a}^{dir} = \left(N_{j,p,y,q,a}^S - C_{j,p,y,q,a}^{bycatch}\right) S_{j,y,q,a} F_{j,y,q} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq q \leq 4, 0 \leq a \leq 5^+ \quad (\text{S16})$$

119

120 *Total catch*

$$121 \quad C_{j,p,y,q,a}^S = C_{j,p,y,q,a}^{bycatch} + C_{j,p,y,q,a}^{dir} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq q \leq 4, 0 \leq a \leq 5^+ \quad (\text{S17})$$

122

123 *Fished proportion of the available biomass from the bycatch in the anchovy directed fishery*

$$124 \quad F_{j,y,q=1,a=0}^{By} = \frac{\sum_{m=11}^{12} \sum_{l < \text{cut}_{y,m}} C_{j,y-1,m,l}^{RLF, fleet=3} + \sum_{l < \text{cut}_{y,m}} C_{j,y,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,q=1,a=0}^S} \quad F_{j,y,q=1,a=1}^{By} = \frac{\sum_{m=11}^{12} \sum_{l \geq \text{cut}_{y,m}} C_{j,y-1,m,l}^{RLF, fleet=3} + \sum_{l \geq \text{cut}_{y,m}} C_{j,y,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,q=1,a=1}^S}$$

$$125 \quad F_{j,y,q=2,a=0}^{By} = \frac{\sum_{m=2}^4 \sum_{l < \text{cut}_{y,m}} C_{j,y,m,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,q=2,a=0}^S} \quad F_{j,y,q=2,a=1}^{By} = \frac{\sum_{m=2}^4 \sum_{l \geq \text{cut}_{y,m}} C_{j,y,m,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,q=2,a=1}^S}$$

⁵ "Selectivity" is incorporated in $F_{j,y,q,a}^{By}$, as the sardine bycatch is typically independent of sardine abundance, but rather correlated with anchovy recruitment which varies from year to year.

$$\begin{aligned}
126 \quad F_{j,y,q=3,a=0}^{By} &= \frac{\sum_{m=5}^7 \sum_{l < lcut_{y,m}} C_{j,y,m,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,q=3,a=0}^S} & F_{j,y,q=3,a=1}^{By} &= \frac{\sum_{m=5}^7 \sum_{l > lcut_{y,m}} C_{j,y,m,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,q=3,a=1}^S} \\
127 \quad F_{j,y,q=4,a=0}^{By} &= \frac{\sum_{m=8}^{10} \sum_{l < lcut_{y,m}} C_{j,y,m,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,4,0}^S} & F_{j,y,q=4,a=1}^{By} &= \frac{\sum_{m=8}^{10} \sum_{l > lcut_{y,m}} C_{j,y,m,l}^{RLF, fleet=3}}{\sum_p N_{j,p,y,4,1}^S} \tag{S18}
\end{aligned}$$

128 A penalty is imposed within the model to ensure that $F_{j,y,q,a}^{By} < 0.95$.

129

130 *Fished proportion of the available biomass from the directed catch and round herring bycatch fisheries*

$$131 \quad F_{j,y,q=1} = \frac{\sum_{fleet=1}^2 \sum_{m=11}^{12} \sum_{l \geq 6cm} C_{j,y-1,m,l}^{RFL, fleet} + \sum_{fleet=1}^2 \sum_{l \geq 6cm} C_{j,y,1,l}^{RFL, fleet}}{\sum_p \sum_{a=0}^{5+} (N_{j,p,y,1,a}^S - C_{j,p,y,1,a}^{bycatch}) S_{j,y,1,a}}$$

$$132 \quad F_{j,y,q=2} = \frac{\sum_{fleet=1}^2 \sum_{m=2}^4 \sum_{l \geq 6cm} C_{j,y,m,l}^{RFL, fleet}}{\sum_p \sum_{a=0}^{5+} (N_{j,p,y,2,a}^S - C_{j,y,2,a}^{bycatch}) S_{j,y,2,a}}$$

$$133 \quad F_{j,y,q=3} = \frac{\sum_{fleet=1}^2 \sum_{m=5}^7 \sum_{l \geq 6cm} C_{j,y,m,l}^{RFL, fleet}}{\sum_p \sum_{a=0}^{5+} (N_{j,p,y,3,a}^S - C_{j,y,3,a}^{bycatch}) S_{j,y,3,a}}$$

$$134 \quad F_{j,y,q=4} = \frac{\sum_{fleet=1}^2 \sum_{m=8}^{10} \sum_{l \geq 6cm} C_{j,y,m,l}^{RFL, fleet}}{\sum_p \sum_{a=0}^{5+} (N_{j,p,y,4,a}^S - C_{j,y,4,a}^{bycatch}) S_{j,y,4,a}} \tag{S19}$$

135 A penalty is imposed within the model to ensure that $S_{j,y,q,l} F_{j,y,q} < 0.95$. Fish <6cm were seldom⁶ caught
136 and were thus not used in fitting this model. Commercial selectivity-at-length is fixed to zero for length
137 classes <6cm (equation S12).

138

139 *Recruitment*

$$140 \quad N_{j,p,y,a=0}^S = \begin{cases} a_j^S e^{\varepsilon_{j,y}^S - 0.5(\sigma_{j,r}^S)^2} & \text{if } p = I \text{ and } SSB_{j,y}^S \geq b_j^S \\ \frac{a_j^S}{b_j^S} SSB_{j,y}^S e^{\varepsilon_{j,y}^S - 0.5(\sigma_{j,r}^S)^2} & \text{if } p = I \text{ and } SSB_{j,y}^S < b_j^S \\ 0 & \text{if } p = NI \end{cases} \quad y_1 \leq y \leq y_n \tag{S20}$$

141

⁶ Less than 6% of the quarters west of Cape Agulhas, less than 2% of the quarters south-east of Cape Agulhas and less than 4% of the quarters for the whole coast.

142 *Carrying Capacity*

$$143 \quad K_j^S = a_j^S e^{-0.5(\sigma_{j,r}^S)^2} \left(\sum_{a=1}^4 \bar{w}_{j,a}^S e^{-M_j^S - (a-1)\bar{M}_{ad}^S} + \bar{w}_{j,5+} e^{-M_j^S - 4\bar{M}_{ad}^S} \frac{1}{1 - e^{-\bar{M}_{ad}^S}} \right) \quad (S21)$$

144

145 *Number of recruits associated with the recruit survey*

$$146 \quad N_{j,y,r}^S = k_{j,r}^S \left((N_{j,NI,y,2,0}^S - C_{j,NI,y,2,0}^S) e^{-(1/8+0.5t_y^S/12)M_{y,0}^S} - \tilde{C}_{j,y,0bs}^S \right) e^{-0.5t_y^S \times M_{y,0}^S / 12} \quad y_1 \leq y \leq y_n \quad (S22)$$

147

148 *Multiplicative survey bias*

$$149 \quad k_{j,N}^S = k_{ac}^S \quad (S23)$$

$$150 \quad k_{j=W,r}^S = k_{cov}^S \times k_{ac}^S \quad (S24)$$

$$151 \quad k_{j=S,r}^S = k_{cov_S}^S \times k_{cov}^S \times k_{ac}^S \quad (S25)$$

152

153 *Survey trawl selectivity*

$$154 \quad S_{j,l}^{survey} = \begin{cases} 0 & l = 2.5^- \text{ cm} \\ [1 + \exp\{-(l + 0.25 - S_{50})/\delta\}]^{-1} & 3\text{cm} \leq l \leq 24^+ \text{ cm} \end{cases} \quad y_1 \leq y \leq y_n \quad (S26)$$

155

156 *Proportion-at-length associated with the November survey*

$$157 \quad p_{j,y,l}^S = \begin{cases} \frac{\sum_p \sum_{l \leq 6\text{cm}} N_{j,p,y,l}^S S_{j,l}^{survey}}{\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S S_{j,l}^{survey}} & l = 6^- \text{ cm} \\ \frac{\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S S_{j,l}^{survey}}{\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S S_{j,l}^{survey}} & 6.5\text{cm} \leq l \leq 20.5\text{cm} \\ \frac{\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S S_{j,l}^{survey}}{\sum_p \sum_{l=21}^{23.5} N_{j,p,y,l}^S S_{j,l}^{survey}} & l = 21 - 23.5\text{cm} \\ \frac{\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S S_{j,l}^{survey}}{\sum_p \sum_{j,p,y,24^+} N_{j,p,y,24^+}^S S_{j,24^+}^{survey}} & l = 24^+ \text{ cm} \\ \frac{\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S S_{j,l}^{survey}}{\sum_p \sum_{l=2.5^-}^{24^+} N_{j,p,y,l}^S S_{j,l}^{survey}} & y_1 \leq y \leq y_n \end{cases} \quad (S27)^7$$

158

⁷ The inclusion of model predicted proportion-at-length 24⁺cm is deliberate to take into account the zero samples of 24⁺cm sardine in the survey.

159 Proportion-at-length of fish infected with the parasite in November

$$160 \quad P_{j,y,l}^S = \frac{N_{j,I,y,l}^S}{\sum_p N_{j,p,y,l}^S} \quad y_1 \leq y \leq y_n, 10cm \leq l \leq 23cm \quad (S28)$$

161

162 Catch-at-length from the directed and round herring bycatch fisheries

$$163 \quad C_{j,p,y,q,l}^{dir} = \sum_{a=0}^{5+} (N_{j,p,y,q,a}^S - C_{j,p,y,q,a}^{bycatch}) A_{j,q,a,l}^{com} S_{j,y,q,l} F_{j,y,q} \quad p = I, NI, y_1 \leq y \leq y_n, 1 \leq q \leq 4, 2.5^- cm \leq l \leq 24^+ cm \quad (S29)$$

164

165 Proportion-at-length associated with the directed catch and round herring bycatch

$$166 \quad P_{j,y,q,l}^{coml,S} = \begin{cases} \frac{\sum_p C_{j,p,y,q,l}^{dir}}{\sum_p \sum_{l=6}^{24^+} C_{j,p,y,q,l}^{dir}} & 6cm \leq l \leq 22.5cm \\ \frac{\sum_p \sum_{l=23}^{24^+} C_{j,p,y,q,l}^{dir}}{\sum_p \sum_{l=6}^{24^+} C_{j,p,y,q,l}^{dir}} & l = 23^+ cm \end{cases} \quad y_1 \leq y \leq y_n, 1 \leq q \leq 4 \quad (S30)$$

167

168 Fitting the Model to Observed Data (Likelihood)

$$169 \quad -\ln L = -\ln L^{Nov} - \ln L^{rec} - \ln L^{sur\ propl} - \ln L^{com\ propl} - \ln L^{prev} \quad (S31)$$

170 where

$$171 \quad -\ln L^{Nov} = \frac{1}{2} \sum_j \sum_{y=y1}^{yn} \left\{ \frac{\left[5^5 \left(\frac{|\ln(\hat{B}_{j,y}^S) - \ln(B_{j,y}^S)|}{\sqrt{(\sigma_{j,y,Nov}^S)^2 + (\phi_{ac}^S)^2 + (\lambda_{j,N}^S)^2}} \right)^5 \right]^{2/5}}{5^5 + \left(\frac{|\ln(\hat{B}_{j,y}^S) - \ln(B_{j,y}^S)|}{\sqrt{(\sigma_{j,y,Nov}^S)^2 + (\phi_{ac}^S)^2 + (\lambda_{j,N}^S)^2}} \right)^5} + \ln \left[2\pi \left((\sigma_{j,y,Nov}^S)^2 + (\phi_{ac}^S)^2 + (\lambda_{j,N}^S)^2 \right) \right] \right\} \quad (S32)$$

$$172 \quad -\ln L^{rec} = \frac{1}{2} \sum_j \sum_{y=y1+1}^{yn} \left\{ \frac{\left[5^5 \left(\frac{|\ln(\hat{N}_{j,y,r}^S) - \ln(N_{j,y,r}^S)|}{\sqrt{(\sigma_{j,y,rec}^S)^2 + (\phi_{ac}^S)^2 + (\lambda_{j,r}^S)^2}} \right)^5 \right]^{2/5}}{5^5 + \left(\frac{|\ln(\hat{N}_{j,y,r}^S) - \ln(N_{j,y,r}^S)|}{\sqrt{(\sigma_{j,y,rec}^S)^2 + (\phi_{ac}^S)^2 + (\lambda_{j,r}^S)^2}} \right)^5} + \ln \left[2\pi \left((\sigma_{j,y,rec}^S)^2 + (\phi_{ac}^S)^2 + (\lambda_{j,r}^S)^2 \right) \right] \right\} \quad (S33)$$

$$173 \quad -\ln L^{sur\ prop} = w_{prop}^{sur} \sum_j \sum_{y=y1}^{ym} \left\{ \sum_{l=6}^{21^+} \left[\frac{\left(\sqrt{\hat{p}_{j,y,l}^S} - \sqrt{p_{j,y,l}^S} \right)^2}{2(\sigma_{j,ur}^S)^2} + \ln(\sigma_{j,ur}^S) \right] + \frac{\left(0 - \sqrt{p_{j,y,24^+}^S} \right)^2}{2(\sigma_{j,ur}^S)^2} + \ln(\sigma_{j,ur}^S) \right\} \quad (S34)^8$$

$$174 \quad -\ln L^{com\ prop} = w_{prop}^{com} \sum_j \sum_{y=y1}^{ym} \sum_{q=1}^4 \sum_{l=6}^{23^+} \left\{ \frac{\left(\sqrt{\hat{p}_{j,y,q,l}^{S,coml}} - \sqrt{p_{j,y,q,l}^{S,coml}} \right)^2}{2(\sigma_{j,com}^S)^2} + \ln(\sigma_{j,com}^S) \right\} \quad (S35)$$

$$175 \quad -\ln L^{prev} = \sum_j \sum_{y=2010}^{2014} \sum_{l=5cm}^{23cm} \left\{ -n_{j,y,l}^{prev} \ln(p_{j,y,l}^S) - (N_{j,y,l}^{prev} - n_{j,y,l}^{prev}) \ln(1 - p_{j,y,l}^S) \right\} \quad (S36)$$

176 A “robustified likelihood” is used for the contributions from the hydro-acoustic surveys to ensure no undue
 177 influence from any extreme (outlying) values for residuals. The functional form chosen to robustify makes
 178 negligible difference for standardised residuals of magnitude three or less, but essentially treats large
 179 standardised residuals as if they do not exceed five in magnitude.

180

⁸ The 21⁺ group in this equation consists of the length classes 21cm, 21.5cm, 22cm, 22.5cm, 23cm and 23.5cm.

181 **Table S1.** Assessment model parameters and variables with associated fixed values or prior distributions and, for derived variables, associated equation numbers.
 182 As the majority of prior distributions are uninformative, notes are provided only for informative priors and/or bounds.

Parameter / Variable	Description	Units / Scale	Fixed Value / Prior Distribution	Equation	Notes	
Annual numbers and biomass	$N_{j,p,y,a}^S$	Model predicted numbers-at-age a at the beginning of November in year y of stock j that are uninfected ($p = NI$) or infected ($p = I$) with the endoparasite	Billions		S1 - S3, S20	
	$N_{j,p,y,q,a}^S$	Model predicted numbers-at-age a mid-way through quarter q of year y of stock j that are uninfected ($p = NI$) or infected ($p = I$) with the endoparasite	Billions		S4	
	$M_{y,a}^S$	Rate of natural mortality of age a in year y	Year ⁻¹		$M_{y,0}^S = 1.0$ $M_{y,1+}^S = 0.8$	de Moor and Butterworth (2015)
	I_y	Proportion of uninfected west stock sardine that are infected with the endoparasite in year y		$I_y = I \sim U(0, 1)$ $2008 \leq y \leq y_n$ $= 0, y_1 \leq y \leq 2007$		
	$move_{y,a}$	Proportion of west stock sardine of age a which move to the south stock at the beginning of November of year y	-	$move_{y,1} \sim Beta(1.05, 1.05)$, $move_{y,2+} = \phi \times move_{y,1}$, $\phi \sim U(0, 1)$		
	$SSB_{j,y}^S$	Model predicted spawning biomass of stock j at the beginning of November in year y	Thousand tons		S9	
	$B_{j,y}^S$	Model predicted total biomass of stock j at the beginning of November in year y , associated with the November survey	Thousand tons		S10	

183

184 **Table S1 (Continued).**

Parameter / Variable	Description	Units / Scale	Fixed Value / Prior Distribution	Equation	Notes	
Annual numbers and biomass	$f_{j,y,l}^S$	Proportion of stock j sardine that are mature in length class l in year y	-		$[1 + \exp\{-(l - 17.2)/1.17\}]^{-1}$ $1984 \leq y \leq 1987$ $[1 + \exp\{-(l - 18.6)/1.26\}]^{-1}$ $1988 \leq y \leq 1995$ $[1 + \exp\{-(l - 19.4)/1.40\}]^{-1}$ $1996 \leq y \leq 2003$ $[1 + \exp\{-(l - 17.4)/0.95\}]^{-1}$ $2004 \leq y \leq 2014$	Refit from data used by van der Lingen et al. (2006) using midpoints of length classes. Assuming maturity post-2003 reflects that of 1965-1975 as maturity is hypothesized to be density dependent (van der Lingen et al. 2006) and both these periods correspond to low biomass following a peak in abundance
	$w_{j,l}^S$	Mean mass of sardine of stock j in length class l	Grams	$1.1639 \times 10^{-5} \times l^{3.03155}$		van der Lingen et al. (2006)
	$w_{j,y,l}^S$	Mean mass of sardine of stock j in length class l at the beginning of November in year y	Grams		S11	
	$\tilde{w}_{j,y}$	Mean mass of sardine sampled from stock j during the November survey of year y	Grams		$\frac{\sum_p \sum_{l=3}^{23.5} N_{j,p,y,l}^S w_{j,l}^S}{\sum_p \sum_{l=3}^{23.5} N_{j,p,y,l}^S}$	
	$\bar{w}_{j,a}^S$	Mean mass of age a from stock j sampled during each November survey, averaged over all years	Grams		$\sum_{l=2.5}^{24} A_{j,a,l}^{sur} w_{j,l}^S$	

185

186 Table S1 (Continued).

Parameter / Variable	Description	Units / Scale	Fixed Value / Prior Distribution	Equation	Notes	
$N_{j,p,y,l}^S$	Model predicted numbers-at-length l at the beginning of November in year y of stock j that are uninfected ($p = NI$) or infected ($p = I$) with the endoparasite	Billions		S5		
$P_{j,y,l}^S$	Model predicted proportion-at-length l of stock j associated with the November survey in year y	-		S27		
$A_{j,y,a,l}^{sur}$	Proportion of age a of stock j sardine that falls in the length group l in November of year y	-		S7		
K_j	Somatic growth rate parameter for stock j	Year ⁻¹	$U(0,3)$			
Proportions-at-length and growth curve	$L_{j,\infty}$	Maximum length (in expectation) of stock j	Cm	$L_{j,a=1} \sim U(5,25)$ $L_{j,a=3} \sim U(5,25)$		$L_{j,\infty}$ and $t_{0,j}$ derived from estimated length at ages 1 and 3
	$t_{0,j,y}$	Age at which the length (in expectation) is zero in year y	Year		S8	
	$t_{0,j}$	Average age at which the length (in expectation) is zero		$\frac{1}{\kappa_j} \ln \left\{ \frac{e^{\kappa_j} (L_{j,a=1} - L_{j,a=3})}{L_{j,a=1} e^{-2\kappa_j} - L_{j,a=3}} \right\}$		
	ε_y^t	Annual residuals about the age at which the length is zero		$N(0,2)$		
	ρ^t	Autocorrelation coefficient in these residuals		$U(-1,1)$		
	$\vartheta_{j,a}$	Standard deviation of the distribution about the mean length for age a of stock j	-	$U(0.01, 3), a = 0,1,2 +$		Upper bound chosen to preclude unrealistically large lengths for very young fish
	$P_{j,y,q,l}^{coml,S}$	Model predicted proportion-at-length l of stock j in the directed catch and round herring bycatch during quarter q of year y	-		S30	
	$A_{j,y,q,a,l}^{com}$	Proportion of age a of stock j sardine that falls in the length group l mid-way through quarter q of year y	-		S14	
$P_{j,y,l}^S$	Model predicted proportion-at-length l of stock j that are infected with the endoparasite, at the time of the November survey in year y			S28		

187 Table S1 (Continued).

Parameter / Variable	Description	Units / Scale	Fixed Value / Prior Distribution	Equation	Notes	
Selectivity	$S_{j,l}^{survey}$	Survey selectivity-at-length l in the November survey for stock j	-		S26	Some smaller fish escape through the trawl net
	S_{50}	Length at which survey selectivity is 50%	Cm	$U(2.5,7)$		
	δ	Inverse of slope of survey selectivity-at-length ogive when selectivity is 50%	-	$U(0.05,5)$		
	$S_{j,y,q,l}$	Commercial selectivity-at-length l during quarter q of year y of stock j	-		S12	
	$S_{j,y,q,a}$	Commercial selectivity-at-age a during quarter q of year y of stock j	-		S13	
	χ_j	Height of the Gaussian component for stock j relative to the height of the logistic component	-	$U(0,1)$		
	$\bar{l}_{1,j}$	Mean of the Gaussian distribution for stock j	Cm	$U(5,15)$		
	$\bar{l}_{2,j,y,q}$	Length at 50% selectivity in the logistic component for stock j in quarter q of year y	Cm	$U(0,25)$		Estimated for four time periods 84-86, 87-97, 98-01, 02-15
$(\sigma_1^{sel})^2$	Variance parameter of the Gaussian distribution	Cm	$U(2,7)$			
$(\sigma_{2,y}^{sel})^2$	Variance parameter of the logistic distribution	Cm	$U(0,10)$			
Multiplicative bias	$k_{j,N}^S$	Multiplicative bias associated with the November survey of stock j	-		S23	
	$k_{j,r}^S$	Multiplicative bias associated with the recruit survey of stock j	-		S24 – S25	
	k_{ac}^S	Multiplicative bias associated with the hydro-acoustic survey	-	$\ln(k_{ac}^S) \sim N(-0.310, 0.094^2)$		Section C
	k_{cov}^S	Multiplicative bias associated with the coverage of the recruits by the recruit survey in comparison to the 1+ biomass by the November survey	-	$\sim U(0.3,1)$		Lower bound selected in discussions with scientists on these surveys and their field experience
	k_{covS}^S	Multiplicative bias associated with the coverage of the south stock recruits by the recruit survey in comparison to the west stock recruits during the same survey	-	$\sim U(0,1)$		

188 **Table S1 (Continued).**

Parameter / Variable	Description	Units / Scale	Fixed Value / Prior Distribution	Equation	Notes	
$C_{j,p,y,q,a}^S$	Model predicted number of age a fish of stock j caught during quarter q of year y that are uninfected ($p = NI$) or infected ($p = I$) with the endoparasite	Billions		S17		
$lcut_{y,m}$	Cut off length for recruits in month m of year y	Cm	de Moor et al. 2016		Differ by month and year as informed by the recruit surveys	
$C_{j,p,y,q,a}^{bycatch}$	Number of age a fish of stock j bycaught in the anchovy-directed fishery in quarter q of year y that are uninfected ($p = NI$) or infected ($p = I$) with the endoparasite	Billions		S15		
Catch	$C_{j,p,y,q,a}^{dir}$	Number of age a fish of stock j caught in the sardine-directed and round herring bycatch fisheries in quarter q of year y that are uninfected ($p = NI$) or infected ($p = I$) with the endoparasite	Billions		S16	
	$C_{j,p,y,q,l}^{dir}$	Number of length l fish of stock j caught in the sardine-directed and round herring bycatch fisheries in quarter q of year y	Billions		S29	
	$F_{j,y,q,a}^{By}$	Fished proportion in quarter q of year y for age class a of stock j , of bycatch in the anchovy-directed fishery	-		S18	
	$F_{j,y,q}$	Fished proportion in quarter q of year y for a fully selected age class a of stock j , by the directed and round herring bycatch fisheries	-		S19	
Initial Values	$N_{j,p,1983,a}^S$	Initial numbers-at-age a in stock j	Billions	$N_{j,NI,1983,a=1}^S \sim U(0,50)$ $N_{j,NI,1983,a}^S = 0, a \geq 2$ $N_{j,I,1983,a}^S = 0, 0 \leq a \leq 5^+$		Estimating $N > 0$ for older ages resulted in no noticeable differences in predicted numbers-at-age in former years

189

190 **Table S1 (Continued).**

Parameter/ Variable	Description	Units / Scale	Fixed Value / Prior Distribution	Equation	Notes
a_j^S	Maximum recruitment of stock j in the hockey stick model	Billions	$\ln(a_j^S) \sim U(0, 5.5)$		Uninformative on log-scale as scale is not known <i>a priori</i> , with the maximum corresponding to about 10 million tons for K_j^S
Recruitment b_j^S	Spawner biomass below which the expectation for recruitment is reduced below the maximum for stock j	Thousand tons	$b_{j=W}^S / K_{j=W}^S \sim U(0, 1)$		Insufficient information in the data to estimate the inflection point for the south stock
			$b_{j=S}^S / K_{j=S}^S = 0.001$		
				S21	
$\varepsilon_{j,y}^S$	Lognormal deviation of recruitment of stock j in year y	-	$\varepsilon_{j,y}^S \sim N(0, (\sigma_{j,r}^S)^2)$		Lower bound chosen to restrict the influence of the stock recruitment curve on the assessment results
$(\sigma_{j,r}^S)^2$	Variance in the residuals (lognormal deviation) about the stock recruitment curve of stock j	-	$\sim U(0.16, 10)$		
$N_{j,y,r}^S$	Model predicted number of juveniles of stock j at the time of the recruit survey in year y	Billions		S22	

191

Parameter / Variable	Description	Units / Scale	Fixed Value / Prior Distribution	Equation	Notes	
$-\ln L^{Nov}$	Contribution to the negative log likelihood from the model fit to the November 1+ survey biomass data	-		S32		
$-\ln L^{rec}$	Contribution to the negative log likelihood from the model fit to the recruit survey data	-		S33		
$-\ln L^{sur\ prop}$	Contribution to the negative log likelihood from the model fit to the November survey proportion-at-length data	-		S34		
$-\ln L^{com\ prop}$	Contribution to the negative log likelihood from the model fit to the quarterly commercial proportion-at-length data	-		S35		
$-\ln L^{sur\ prev}$	Contribution to the negative log likelihood from the model fit to the November parasite prevalence-by-length data	-		S36		
Likelihood	ϕ_{ac}^S	CV associated with factors which cause bias in the acoustic survey estimates and which vary inter-annually rather than remain fixed over time	-	= 0.227		Section C
	$(\lambda_{j,N/r}^S)^2$	Additional variance (over and above $(\sigma_{j,y,Nov/rec}^S)^2$ and $(\phi_{ac}^S)^2$) associated with the November/recruit surveys of stock j	-	$\sim U(0,10)$		
	w_{prop}^{sur}	Weighting applied to the survey proportion-at-length data	-	= 0.167		To allow for autocorrelation ⁹
	$\sigma_{j,sur}^S$	Standard deviation associated with the survey proportion-at-length data of stock j	-	$\sqrt{\sum_{y=y1}^{yn} \sum_{l=6^-}^{21^+} (\sqrt{\hat{p}_{j,y,l}^S} - \sqrt{p_{j,y,l}^S})^2} / \sum_{y=y1}^{yn} \sum_{l=6^-}^{21} 1$		Closed form solution ¹⁰
	w_{prop}^{com}	Weighting applied to the commercial proportion-at-length data	-	= 0.04		To allow for autocorrelation ¹¹

⁹ Based upon data being available ~6 times more frequently than annual age data which contain maximum information content on this.

¹⁰ The 21⁺ group in this equation consists of the length classes 21cm, 21.5cm, 22cm, 22.5cm, 23cm and 23.5cm.

¹¹ Based upon data being available ~4x6 times more frequently than annual age data which contain maximum information content on this.

$\sigma_{j,com}^S$

Standard deviation associated with the commercial proportion-at-length data of stock j

$$\sqrt{\frac{\sum_{y=y_1}^{y_n} \sum_{q=1}^4 \sum_{l=6}^{23^+} \left(\sqrt{\hat{p}_{1,y,q,l}^{comlS}} - \sqrt{p_{1,y,q,l}^{comlS}} \right)^2}{\sum_{y=y_1}^{y_n} \sum_{q=1}^4 \sum_{l=6}^{23^+} 1}} - \sqrt{\frac{\sum_{y=y_1}^{y_n} \sum_{q=1}^4 \sum_{l=13}^{23^+} \left(\sqrt{\hat{p}_{2,y,q,l}^{comlS}} - \sqrt{p_{2,y,q,l}^{comlS}} \right)^2}{\sum_{y=y_1}^{y_n} \sum_{q=1}^4 \sum_{l=13}^{23^+} 1}}$$

Closed form solution¹²

193

Accepted Manuscript

¹² A shorter range of lengths is used given the near absence of data outside this range, resulting in small/zero residuals, which would negatively bias this estimate.

Table S2. Assessment model data, detailed in de Moor et al. (2016).

Quantity	Description	Units / Scale	Shown in Figure
t_y^S	Time lapsed between 1 May and the start of the recruit survey in year y	Months	
$\tilde{C}_{j,y,obs}^S$	Number of juveniles of stock j caught between 1 May and the day before the start of the recruit survey in year y	Billions	
$C_{j,y,m,l}^{RFL,fleet}$	Number of fish in length class l landed by $fleet$ in month m of year y of stock j . $fleet = 1$ denotes the sardine directed fishery, $fleet = 2$ denotes the sardine bycatch with round herring (1984-2011) or ≥ 14 cm sardine bycatch (2012-14) and $fleet = 3$ denotes the juvenile sardine bycatch with anchovy (1984-2011) or < 14 cm sardine bycatch (2012-15)	Billions	
$\hat{B}_{j,y}^S$	Acoustic survey estimate of biomass of stock j from the November survey in year y	Thousand tons	Fig. 2
$\sigma_{j,y,Nov}^S$	Survey sampling CV associated with $\hat{B}_{j,y}^S$ that reflects survey inter-transect variance	-	Fig. 2
$\hat{N}_{j,y,r}^S$	Acoustic survey estimate of recruitment of stock j from the recruit survey in year y	Billions	Fig. 2
$\sigma_{j,y,rec}^S$	Survey sampling CV associated with $\hat{N}_{j,y,r}^S$ that reflects survey inter-transect variance	-	Fig. 2
$\hat{P}_{j,y,l}^S$	Observed proportion (by number) of stock j in length group l in the November survey of year y	-	Fig. S3
$\hat{P}_{j,y,q,l}^{S,coml}$	Observed proportion (by number) of the directed catch and round herring bycatch of fish of stock j and length group l during quarter q of year y	-	Fig. S4
$n_{j,y,l}^{prev}$	Number of sardine of stock j in length class l sampled from the November survey in year y that were tested and found to be infected with the parasite	Numbers	Fig. 5
$N_{j,y,l}^{prev}$	Number of sardine of stock j in length class l sampled from the November survey in year y that were tested for infection with the parasite	Numbers	Fig. 5

196 **Section C. Calculating the bias in estimates of sardine from the May and November hydro-acoustic**
197 **surveys**

198

199 The probability density functions (pdfs) for the bias in the May and November acoustic survey that relate
200 directly to the acoustic survey (rather than, for example the coverage of the stock), k_{ac}^S , and the CV
201 associated with variable error factors which cause bias in the acoustic survey estimates, ϕ_{ac}^S , have been
202 updated from that calculated by de Moor and Butterworth (2015).

203

204 Anon. (2000) distinguished two different types of acoustic error factors (Table S3). Constant error relates
205 to a factor whose value is not known exactly, but whatever it is, it is the same for each year. In contrast
206 variable errors relate to a factor whose true value will change from one year to the next.

207

208 Ten thousand samples were drawn from the individual pdfs for each source of error. These sampled
209 numbers were then all inverted so as to correspond directly to k_{ac}^S which applies to the model biomass
210 rather than observed biomass. The inverted sample of constant errors is denoted as C_j , $j=1,\dots,10000$ for
211 the only constant error factor (calibration – beam factor), and the inverted sample of variable errors – or
212 errors that vary inter-annually – is denoted as V_j^k , $j=1,\dots,10000$ for error factor k . Histograms of the
213 samples are given in Figure S9.

214

215 As the survey biomass estimates are considered in log-space in the likelihood (equations S32-S33), the
216 distributions of k_{ac}^S and ϕ_{ac}^S are similarly considered in log-space. Histograms of the $\ln(C_j)$ and $\ln(V_j^k)$
217 samples are given in Figure S10. The median of $\ln(k_{ac}^S)$ is subsequently calculated as the median of the
218 sample: $\ln(C_j) + \sum_k \ln(V_j^k)$, $j=1,\dots,10000$, which is -0.310. The standard deviation of $\ln(k_{ac}^S)$ is based only on
219 the log of the constant factor, and thus it is calculated as the standard deviation of the sample: $\ln(C_j)$,
220 $j=1,\dots,10000$, which is 0.094. The prior distribution for $\ln(k_{ac}^S)$ is taken to be normally distributed, i.e.
221 $\ln(k_{ac}^S) \sim N(-0.310, 0.094^2)$ (Fig. S11). The standard deviation of the log of the variable factors is considered
222 similar to additional variance in the likelihood calculation, and is calculated as the standard deviation of
223 the sample: $\sum_k \ln(V_j^k)$, $j=1,\dots,10000$, giving $\phi_{ac}^S = 0.227$. There may, however, still be systematic errors
224 relating to the target strength that have not been taken into account in these pdfs. These could be taken
225 into account through sensitivity tests by using alternative priors for k_{ac}^S .

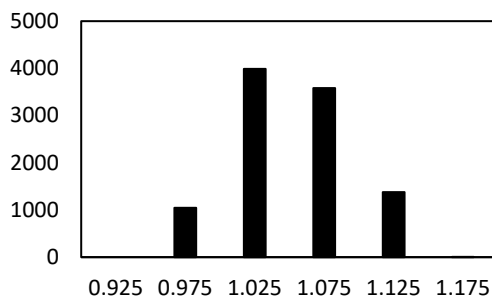
226

227 **Table S3.** Individual error factors for hydro-acoustic surveys of sardine biomass, where the values define
 228 trapezium form pdfs (Anon. 2000). Note that these error factors apply to the observed biomass, i.e. they
 229 reflect the inverse of the multiplicative bias factor k_{ac}^S in the model.

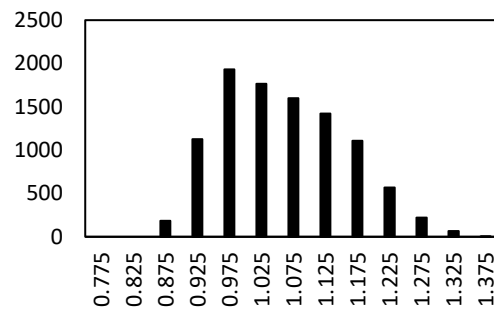
Error	Minimum	Likely (lower)	Likely (midpoint)	Likely (upper)	Maximum	Nature
Calibration						
(On-axis sensitivity)	0.90	0.95	1.00	1.05	1.10	Variable ¹⁴
(Beam factor)	0.75 ¹³	0.90	1.00	1.10	1.25	Constant
Surface Schooling	1.00	1.05	1.075	1.10	1.15	Variable
Target Identification	0.50	0.90	1.00	1.10	1.50	Variable ⁶
Weather Effects	1.01	1.05	1.15	1.25	2.00	Variable

230

On-Axis Sensitivity Calibration

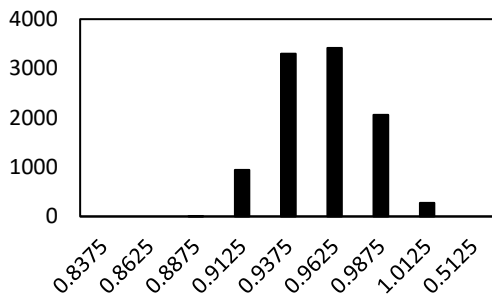


Beam Factor Calibration

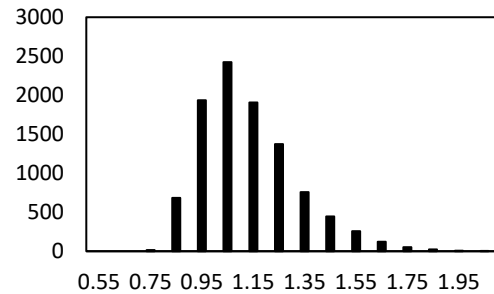


231

Surface Schooling

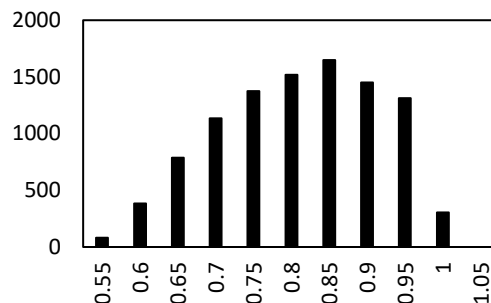


Target Identification



232

Weather Effects



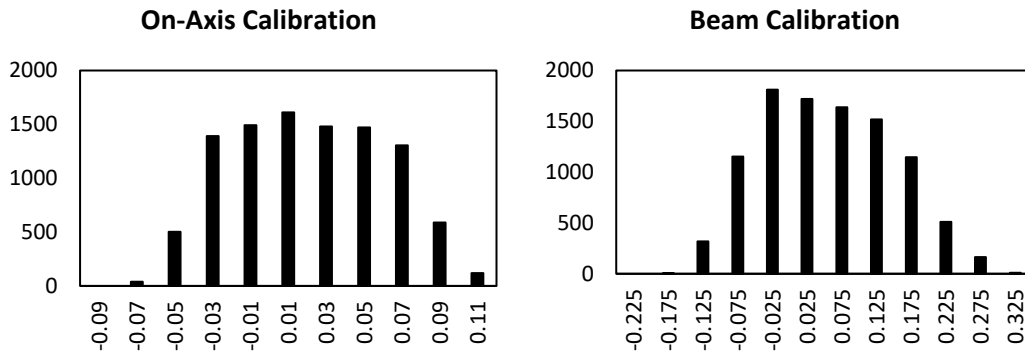
233

234 **Fig. S9.** The histograms of 10 000 samples of the individual error factors C_j and V_j^k .

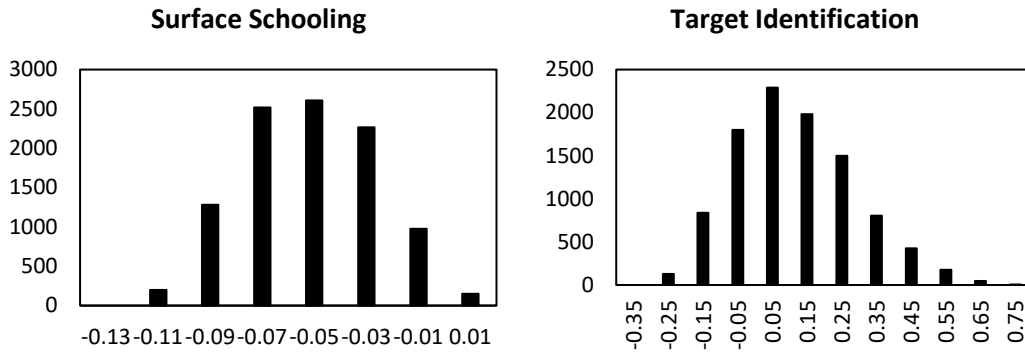
¹³ This was originally reported as 0.8 in Anon 2000, but subsequently corrected (I. Hampton pers. Comm.).

¹⁴ This was recorded in Anon. (2000) as random error denoting that it would be positive or negative rather than purely positive or negative.

235



236



237

238

Weather Effects

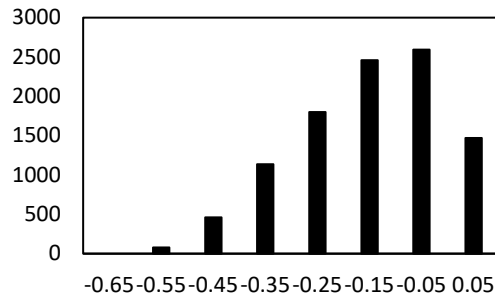


Fig. S10. The histograms of 10 000 samples of the individual error factors $\ln(C_j)$ and $\ln(V_j^k)$.

239

240

241

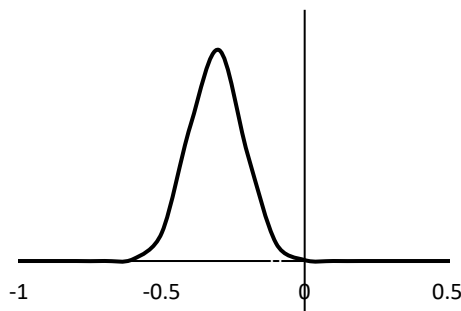


Fig. S11. The resultant assumed prior distribution for $\ln(k_{ac}^s)$.

242 **Supplementary Material References**

- 243 Anon. 2000. Survey Errors Workshop. Benguela Environment and Fisheries Interaction and Training
244 programme report. 4-7 December, Breakwater Lodge, Cape Town.
- 245 de Moor, C.L., and Butterworth, D.S. Assessing the South African sardine resource: two stocks rather than
246 one? African Journal of Marine Science. 37(1) :41-51. doi: 10.2989/1814232x.2015.1009166.
- 247 de Moor, C.L., Coetzee, J., Merkle, D., van der Westhuizen, J.J., and van der Lingen, C. 2016. A record of
248 the generation of data used in the 2016 sardine and anchovy assessments. Report No
249 FISHERIES/2016/APR/SWG-PEL/13rev, Department of Agriculture, Forestry and Fisheries, South Africa.
- 250 van der Lingen, C.D., Fréon, P., Fairweather, T.P., van der Westhuizen, J.J. 2006. Density-dependent
251 changes in reproductive parameters and condition of southern Benguela sardine *Sardinops sagax*.
252 African Journal of Marine Science 28(3&4): 625-636.

Accepted Manuscript